

# Data Structures

**Giri Narasimhan**

Office: ECS 254A

Phone: x-3748

[giri@cs.fiu.edu](mailto:giri@cs.fiu.edu)

# Evaluation

- ◆ Programming Assignments 40%
- ◆ In-class quizzes 15%
- ◆ Exams 40%
- ◆ Class Participation 5%
  
- ◆ **Course Website:** <https://users.cs.fiu.edu/~giri/teach/3530Fall2016.html>

# Advantages of Asymptotic Analysis & Big-Oh Notation

- ◆ Allows for rough measure of running time
- ◆ Abstracts main features of code without focusing on details of implementation or hardware or language or environment
- ◆ Tells us how time complexity scales with input size
- ◆ Allows for a quick high-level comparison of algorithms

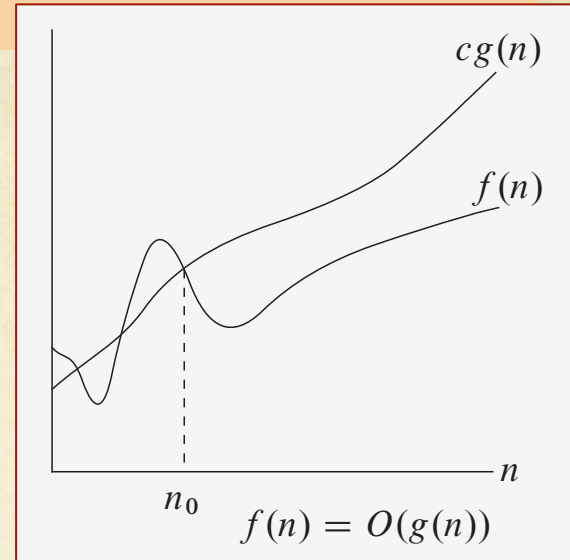
# Asymptotic Running Time

- ◆ To compute **asymptotic running time**,
  - ❑ Consider the worst-case scenario & count number of steps as a function of length of input
  - ❑ Write down an expression for the running time for the worst-case input
  - ❑ Eliminate all terms except the dominant term(s)
  - ❑ Eliminate constants where possible
  - ❑ Simplify expression where possible
  - ❑ What remains is an upper bound on the asymptotic running time using **big-Oh notation**

# How to compare big-Os?

- ◆ We say that  $f(n) = O(g(n))$  if
  - There exists positive integer  $n_0$ , and
  - A positive constant  $c$ , such that
  - For all  $n > n_0$ ,

$$f(n) \leq c g(n)$$



- ◆ In other words, for all large enough values of  $n$ , there exists a constant  $c$ , such that
  - $cf(n)$  is always bounded from above by  $g(n)$
- ◆ Note that the condition may be violated for a finitely many small values of  $n$

# Examples

- ◆ Let  $f(n) = 1000n^2$
- ◆ Let  $g(n) = 0.001n^2$
- ◆ Let  $h(n) = 1000n^2 + 10^6$
- ◆ What are the relationships between  $f(n)$ ,  $g(n)$  and  $h(n)$ ?
- ◆ Can you say that:
  - $f(n) = O(g(n))$ ?
  - Or vice versa?
- ◆  $g(n) = O(f(n)) = O(h(n))$ 
  - $n_0 = 1$  and  $c = 1$ ;
- ◆  $f(n) = O(g(n))$ 
  - $n_0 = 1$  and  $c = 10^6$ ;
- ◆  $f(n) = O(h(n))$ 
  - $n_0 = 1$  and  $c = 1$ ;
- ◆  $h(n) = O(f(n))$ 
  - $n_0 = \sqrt{1000}$  and  $c = 2$ ;
  - $n_0 = 10$  and  $c = 11$ ;
- ◆  $h(n) = O(g(n))$ 
  - $n_0 = \sqrt{1000}$  and  $c = 2 \times 10^6$ ;

# Facts about proving big-Oh

- ◆  $O(n) = O(2n)$ 
  - Because  $n = O(n) = O(2n)$
  - **Ignore** multiplicative constants
- ◆  $n^2 + 6n - 9 = O(n^2)$ 
  - Try  $n_0 = 3$  and  $c = 2$
  - **Ignore** additive lower order terms; only dominant term left
- ◆  $n = O(n^2)$ 
  - Try  $n_0 = 1$  and  $c = 1$
  - Gives **loose upper bounds**

# More facts about big-Oh

- ◆ If  $f(n) = O(g(n))$ , it may not be true that  $g(n) = O(f(n))$ 
  - **ASYMMETRY**
  - Can you come up with examples?
- ◆ It is possible for  $f(n) = O(g(n))$  as well as  $g(n) = O(f(n))$ 
  - Can you come up with examples?
- ◆ If  $f(n) = O(g(n))$  and  $g(n) = O(h(n))$ , then  $f(n) = O(h(n))$ 
  - **TRANSITIVITY**
  - Can you come up with examples?
- ◆ **Do you think:**  $f(n) + g(n) = O(\max(f(n), g(n)))$ ?
- ◆ **Do you think:**  $\max(f(n), g(n)) = O(f(n) + g(n))$ ?



# How to prove "not" big-Oh

- ◆  $n^2 \neq O(2n)$ 
  - Prove by contradiction
  - Assume it is true.
  - Then, by definition, there exists positive  $n_0$  and  $c$  such that
    - $n^2 \leq 2cn$ , for all  $n > n_0$
    - $n \leq 2c$ , for all  $n > n_0$
  - But, this is impossible if we choose  $n > \max\{2c, n_0\}$
  - Hence, the contradiction!
  - Thus, our assumption has to be incorrect.

# Other useful relationships

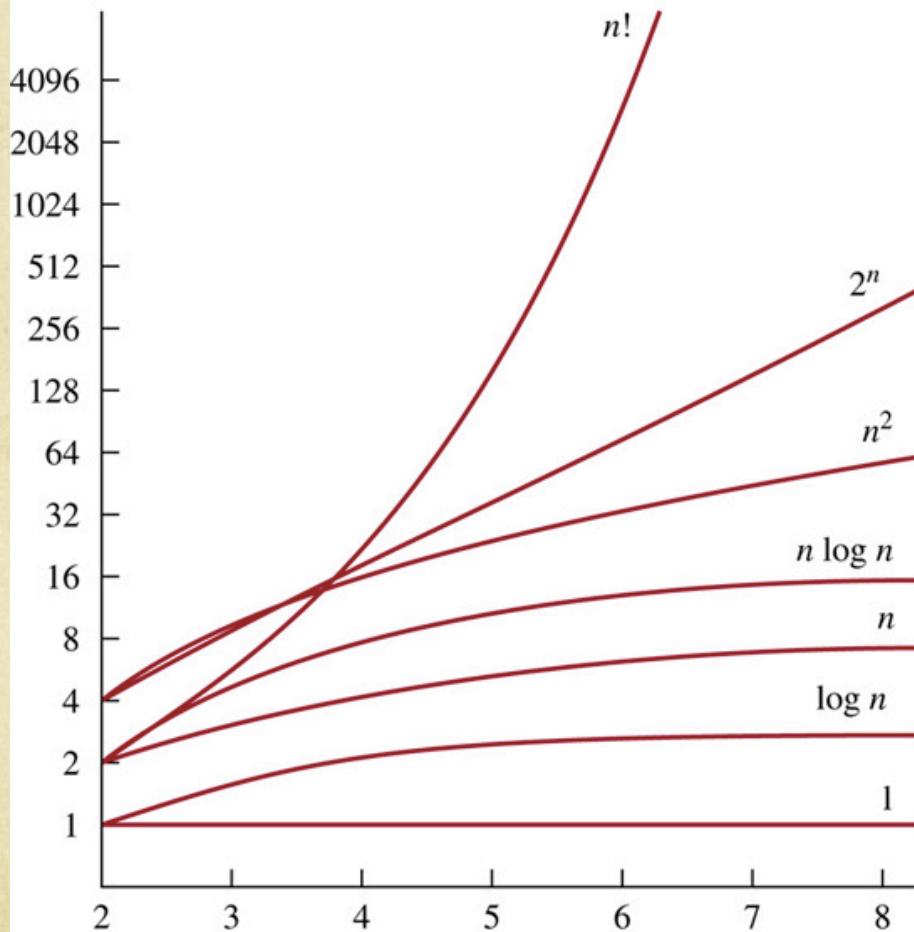
- ◆ We say that  $f(n) = O(g(n))$  if
  - There exists positive  $n_0$  and  $c$ , such that
  - For all  $n \geq n_0$ ,  $f(n) \leq c g(n)$
- ◆ We say that  $f(n) = \Omega(g(n))$  if
  - There exists positive  $n_0$  and  $c$ , such that
  - For all  $n \geq n_0$ ,  $f(n) \geq c g(n)$
- ◆ We say that  $f(n) = \Theta(g(n))$  if
  - $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$
- ◆ We say that  $f(n) = o(g(n))$  if
  - $f(n) = O(g(n))$  and  $f(n) \neq \Theta(g(n))$

# Summary

- ◆ To prove  $f(n) = O(g(n))$ 
  1. Pick a value of  $c$
  2. Find a  $n_0$  such that
  3.  $f(n) \leq c g(n)$  for all  $n > n_0$
  4. If not, go back to step 1 and refine value of  $c$
  
- ◆ To prove  $f(n) \neq O(g(n))$ 
  - Assume that  $c$  is fixed to some positive value
  - And assume there exists a  $n_0$  such that
  - $f(n) \leq c g(n)$  for all  $n > n_0$
  - Now try to prove a contradiction to this claim

# Find the relationships here?

© The McGraw-Hill Companies, Inc. all rights reserved.



<b>1</b>	<b>constant</b>
<b>log n</b>	<b>logarithmic</b>
<b>n</b>	<b>linear</b>
<b>n log n</b>	<b>n-log-n</b>
<b>n<sup>2</sup></b>	<b>quadratic</b>
<b>n<sup>3</sup></b>	<b>cubic</b>
<b>2<sup>n</sup></b>	<b>exponential</b>
<b>n!</b>	<b>factorial</b>

12

# What's the flaw here?

- ◆ **WRONG CLAIM:**  $n^2 = O(n)$ , because
  - choose  $c = n$ , and  $n_0 = 1$ ,
  - It is easy to see that  $n^2 \leq c n$  for all  $n > n_0$
- ◆ What's wrong with this?
- ◆ **FLAW:**  $c$  must be a positive constant; it cannot depend on  $n$

# Ignoring constants in big-Oh

- ◆ **WRONG CLAIM:**  $e^{3n} = O(e^n)$  because constant factors can be ignored
- ◆ What's wrong with this?
- ◆ **FLAW:** A constant factor in an exponent is not the same as a constant factor in front of a term
  - It is not true that
    - $e^{3n} \leq c e^n$
    - In fact,  $e^{3n} = e^n e^{2n}$
    - Another way to look at it:  $e^{3n} = (e^n)^3$
    - $e^{2n}$  is much bigger than  $e^n$  !!!

# Time Complexities

- ◆ Sequence of Statements

statement 1;

statement 2;

...

statement k;

- ◆ *total time* = sum of times for all statements:

$$T(n) = \text{time}(\text{statement 1}) + \text{time}(\text{statement 2}) + \dots + \text{time}(\text{statement k})$$

- ◆ If each statement is "simple" (only involves *basic* operations) then the time for each statement is constant and the total time is also *constant*:  $O(1)$ .

# Time Complexities ... 2

## ◆ Loops

- The running time of a loop is, at most, the running time of the statements inside the loop x the # of iterations

//executes n times

For i = 1 to n do

    m = m + 2; // constant time

Total time  $T(n) = \text{constant } c \times n = cn = O(n)$



# Time Complexities ... 3

## ◆ Nested Loops

- Analyze from the *inside out*. Total running time is the product of the size of the loops

```
//outer loop executes n times
```

```
For i = 1 to n do
```

```
    //inner loop executes n times
```

```
    For i = 1 to n do
```

```
        k = j + 1; // constant time
```

Total time  $T(n) = c \times n \times n = cn^2 = O(n^2)$

# Challenging Cases

**MAXSUBSEQSUM(A)**

Initialize maxSum to 0

$N := \text{size}(A)$

For  $i = 1$  to  $N$  do

    For  $j = i$  to  $N$  do

        Initialize thisSum to 0

        for  $k = i$  to  $j$  do

            add  $A[k]$  to thisSum

        if (thisSum > maxSum) then

            update maxSum

$$\sum_{k=i}^j 1 = j - i + 1$$

$$\sum_{j=i}^N (j - i + 1) = \frac{(N - i + 1)(N - i + 2)}{2}$$

$$\sum_{i=1}^N \frac{(N - i + 1)(N - i + 2)}{2}$$

$$= \sum_{i=1}^N \frac{i^2}{2} - (N + \frac{3}{2}) \sum_{i=1}^N i + \frac{1}{2}(N^2 + 3N + 2) \sum_{i=1}^N 1$$

$$= \frac{N^3 + 3N^2 + 2N}{6} = O(N^3)$$

18

# Challenging Case ... 2

BINARYSEARCH(A, key, low, high)

If (low > high) return not found

mid = (low + high)/2

If A[mid] = key then return mid

If A[mid] > key then

BinarySearch(A, key, low, mid-1)

Else

BinarySearch(A, key, mid+1, high)

- ◆ On each recursive call, high-low+1 is halved
- ◆ How many times do you have to halve N before it becomes smaller than 1?
- ◆ Answer  $\approx \log_2 N$  **Why?**