

## Sorting

- Input is a list of  $n$  items that can be compared.
- Output is an ordered list of those  $n$  items.
- Fundamental problem that has received a lot of attention over the years.
- Used in many applications.
- Scores of different algorithms exist.
- Task: To compare algorithms
  - On what bases?
    - Time
    - Space
    - Other

9/8/05 COT 5407 1

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## Sorting Algorithms

- Selection Sort
- Insertion Sort
- Bubble Sort
- Shaker Sort
- Shell Sort
- Merge Sort
- Heap Sort
- Quick Sort
  
- Bucket & Radix Sort
- Counting Sort

9/8/05 COT 5407 2

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## Selection Sort

Array Position	0	1	2	3	4	5
Initial State	8	5	9	2	6	3
After Iteration 1	2	5	9	8	6	3
After Iteration 2	2	3	9	8	6	5
After Iteration 3	2	3	5	8	6	9
After Iteration 4	2	3	5	6	8	9
After Iteration 5	2	3	5	6	8	9

9/8/05 COT 5407 3

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### Selection Sort

```

algorithm selectionSort( array a, integer N)
// given array a[0..N-1]
{
  for( int p = 0; p < N; p++)
  {
    Compute j, the index of the smallest item in a[p..N];
    Swap a[p] and a[j];
  }
}

```

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4

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### Selection Sort

```

algorithm selectionSort( array a, integer N)
// given array a[0..N-1]
{
  for( int p = 0; p < N-1; p++)
  { // Compute j, the index of the smallest item in a[p..N];
    j = p;
    for (int m = p+1; m < N; m++)
      if (a[m] < a[j]) then j = m;
    // Swap a[p] and a[j];
    temp = a[p];  a[p] = a[j];  a[j] = temp;
  }
}

```

9/8/05

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5

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**Figure 8.3**

Basic action of insertion sort (the shaded part is sorted)

Array Position	0	1	2	3	4	5
Initial State	8	5	9	2	6	3
After a[0..1] is sorted	5	8	9	2	6	3
After a[0..2] is sorted	5	8	9	2	6	3
After a[0..3] is sorted	2	5	8	9	6	3
After a[0..4] is sorted	2	5	6	8	9	3
After a[0..5] is sorted	2	3	5	6	8	9

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6

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**Figure 8.4**

A closer look at the action of insertion sort (the dark shading indicates the sorted area; the light shading is where the new element was placed).

Array Position	0	1	2	3	4	5
Initial State	8	5				
After a[0..1] is sorted	5	8	9			
After a[0..2] is sorted	5	8	9	2		
After a[0..3] is sorted	2	5	8	9	6	
After a[0..4] is sorted	2	5	6	8	9	3
After a[0..5] is sorted	2	3	5	6	8	9

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7

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### Insertion Sort

```

algorithm insertionSort( array a, integer N)
// given array a[0..N-1]
{
  for( int p = 1; p < N; p++)
  { // insert a[p] in its right location
    temp = a[p];
    int j = p;
    while (j > 0 && temp < a[j-1])
      a[j] = a[j-1];
      j = j-1;
    a[j] = temp;
  }
}

```

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8

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**Figure 8.5**

Shellsort after each pass if the increment sequence is {1, 3, 5}

ORIGINAL	81	94	11	96	12	35	17	95	28	58	41	75	15
After 5-sort	35	17	11	28	12	41	75	15	96	58	81	94	95
After 3-sort	28	12	11	35	15	41	58	17	94	75	81	96	95
After 1-sort	11	12	15	17	28	35	41	58	75	81	94	95	96

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9

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## ShellSort

```
algorithm shellsort(array a, integer N)
{
  for(int gap = a.length / 2; gap > 0;
      gap = gap == 2 ? 1 : (int) (gap / 2.2))
    for(int i = gap; i < a.length; i++){
      tmp = a[ i ];
      int j = i;
      for(j >= gap && tmp < a[ j - gap ])
        a[ j ] = a[ j - gap ];
        j = j - gap;
      a[ j ] = tmp;
    }
}
```

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10

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## Merge Sort

```
algorithm mergeSort( array a, integer left, integer right )
{
  if( left < right )
  {
    int center = ( left + right ) / 2;
    mergeSort( a, left, center );
    mergeSort( a, center + 1, right );
    merge( a, left, center + 1, right );
  }
}
```

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11

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## Merge in Merge Sort

```
algorithm merge(array a, integer leftPos, integer rightPos, integer rightEnd)
{
  int leftEnd = rightPos - 1;
  int tmpPos = leftPos;
  int numElements = rightEnd - leftPos + 1;
  while( leftPos <= leftEnd && rightPos <= rightEnd )
    if( a[ leftPos ] <= a[ rightPos ] )
      tmpArray[ tmpPos++ ] = a[ leftPos++ ];
    else
      tmpArray[ tmpPos++ ] = a[ rightPos++ ];
  while( leftPos <= leftEnd ) // Copy rest of first half
    tmpArray[ tmpPos++ ] = a[ leftPos++ ];
  while( rightPos <= rightEnd ) // Copy rest of right half
    tmpArray[ tmpPos++ ] = a[ rightPos++ ];

  for( int i = 0; i < numElements; i++, rightEnd-- )
    a[ rightEnd ] = tmpArray[ rightEnd ];
}
```

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12

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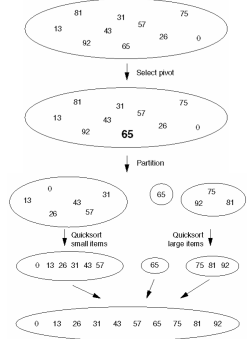
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Figure 8.10 Quicksort



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## Partition

Figure A If 6 is used as pivot, the end result after partitioning is as shown in the Figure B.

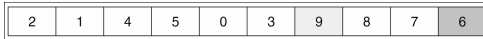
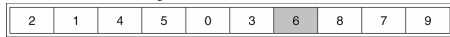


Figure B Result after Partitioning



9/8/05

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14

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## Algorithm Invariants

- **Selection Sort**
  - iteration k: the k smallest items are in correct location.
- **Insertion Sort**
  - iteration k: the first k items are in sorted order.
- **Bubble Sort**
  - In each pass, every item that does not have a smaller item after it, is moved as far up in the list as possible.
  - Iteration k: k smallest items are in the correct location.
- **Shaker Sort**
  - In each odd (even) numbered pass, every item that does not have a smaller (larger) item after it, is moved as far up (down) in the list as possible.
  - Iteration k: the k/2 smallest and largest items are in the correct location.

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15

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## Algorithm Invariants (Cont'd)

- **Merge (many lists)**
  - Iteration  $k$ : the  $k$  smallest items from the lists are merged.
- **Heapify**
  - Iteration with  $i = k$ : Subtrees with roots at indices  $k$  or larger satisfy the heap property.
- **HeapSort**
  - Iteration  $k$ : Largest  $k$  items are in the right location.
- **Partition (two sublists)**
  - Iteration  $k$  (with pointers at  $i$  and  $j$ ): items in locations  $[1..I]$  (locations  $[i+1..j]$ ) are at least as small (large) as the pivot.

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16

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## Sorting Algorithms

- Number of Comparisons
- Number of Data Movements
- Additional Space Requirements

9/8/05

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17

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## Sorting Algorithms

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- Bucket & Radix Sort
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18

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## Animation Demos

<http://www-cse.uta.edu/~holder/courses/cse2320/lectures/applets/sort1/heapsort.html>

<http://cg.scs.carleton.ca/~morin/misc/sortalg/>

9/8/05

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19

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```
algorithm QuickSort(array a, integer p, integer r)
{
  if (p < r) then
    q = Partition(a, p, r)
    QuickSort(a, p, q-1)
    QuickSort(a, q+1, r)
}
```

```
algorithm Partition(array A, integer p, integer r)
{
  x = a[r]
  i = p-1
  for j = p to r-1 do
    if a[j] <= x then
      i++
      exchange(a[i], a[j])
  exchange(a[i+1], a[r])
  return i+1
}
```

Page 146, CLRS

9/8/05

COT 5407

20

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## Problems to think about (while waiting!)

- Can 5 elements be sorted using 7 comparisons?
- How to arrange a tennis tournament in order to find the tournament champion with the least number of matches? How many tennis matches are needed?
- How many tennis matches need to be arranged in order to find the second best player in the championship?
- How much space is needed to find the second largest value in a set of  $n$  numbers?
- How to find the candidate with the majority votes?
- ...

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21

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