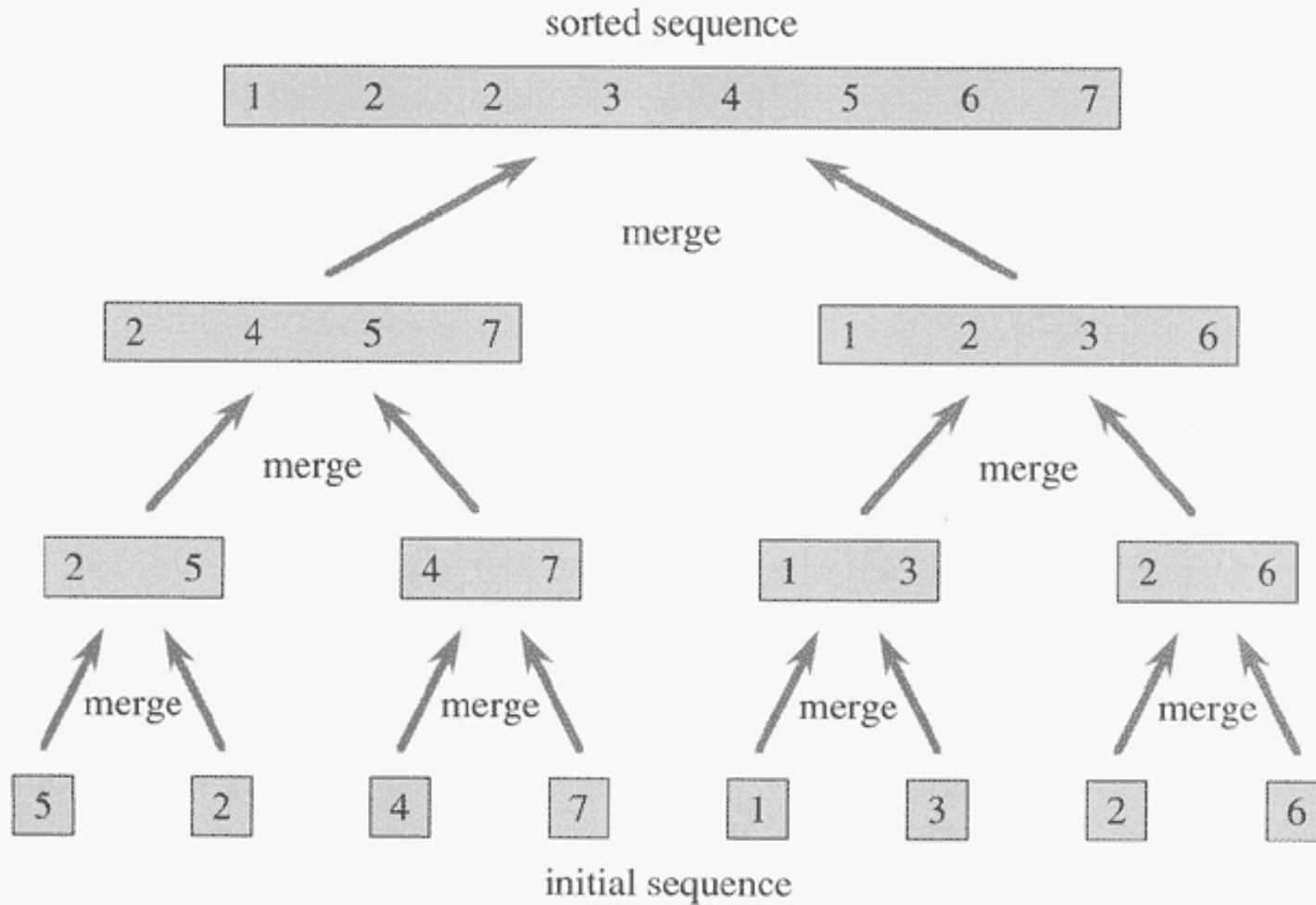
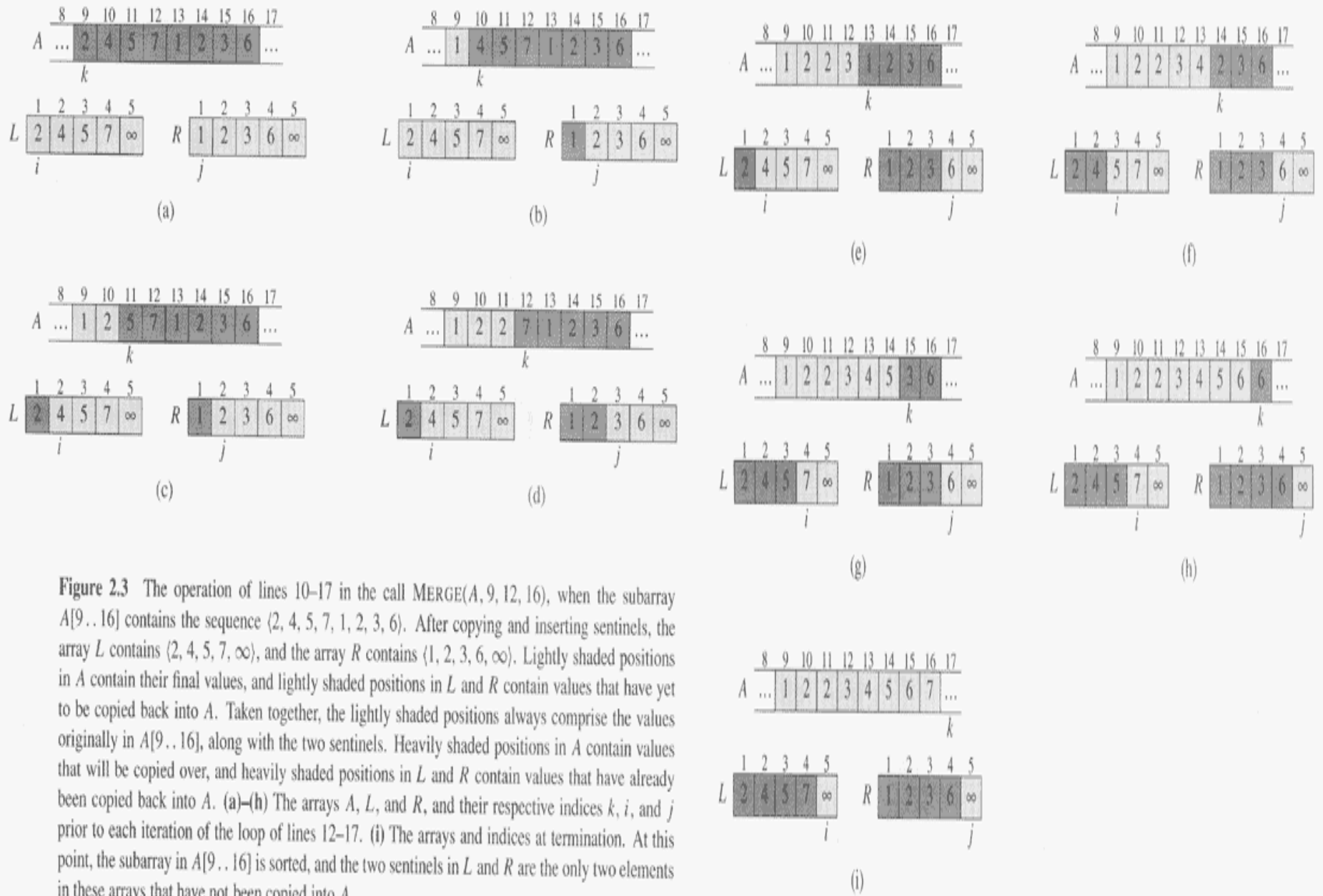


# Animation Demos

- <http://cg.scs.carleton.ca/~morin/misc/sortalg/>
- <http://home.westman.wave.ca/~rhenry/sort/>
  - Shows time complexities on best, worst and average case
- <http://vision.bc.edu/~dmartin/teaching/sorting/anim-html/quick3.html>
  - runs on almost sorted, reverse, random, and unique inputs; shows code with invariants
- <http://www.brian-borowski.com/Sorting/>
  - Shows comparisons and movements, and animations in stepwise fashion; it allows users to input their own data
- <http://maven.smith.edu/~thiebaut/java/sort/demo.html>
  - Shows comparisons and data movements and step by step execution.



**Figure 2.4** The operation of merge sort on the array  $A = \langle 5, 2, 4, 7, 1, 3, 2, 6 \rangle$ . The lengths of the sorted sequences being merged increase as the algorithm progresses from bottom to top.



**Figure 2.3** The operation of lines 10–17 in the call `MERGE(A, 9, 12, 16)`, when the subarray  $A[9..16]$  contains the sequence  $\langle 2, 4, 5, 7, 1, 2, 3, 6 \rangle$ . After copying and inserting sentinels, the array  $L$  contains  $\langle 2, 4, 5, 7, \infty \rangle$ , and the array  $R$  contains  $\langle 1, 2, 3, 6, \infty \rangle$ . Lightly shaded positions in  $A$  contain their final values, and lightly shaded positions in  $L$  and  $R$  contain values that have yet to be copied back into  $A$ . Taken together, the lightly shaded positions always comprise the values originally in  $A[9..16]$ , along with the two sentinels. Heavily shaded positions in  $A$  contain values that will be copied over, and heavily shaded positions in  $L$  and  $R$  contain values that have already been copied back into  $A$ . (a)–(h) The arrays  $A$ ,  $L$ , and  $R$ , and their respective indices  $k$ ,  $i$ , and  $j$  prior to each iteration of the loop of lines 12–17. (i) The arrays and indices at termination. At this point, the subarray in  $A[9..16]$  is sorted, and the two sentinels in  $L$  and  $R$  are the only two elements in these arrays that have not been copied into  $A$ .

```
MERGE( $A, p, q, r$ )
1   $n_1 \leftarrow q - p + 1$ 
2   $n_2 \leftarrow r - q$ 
3  create arrays  $L[1 .. n_1 + 1]$  and  $R[1 .. n_2 + 1]$ 
4  for  $i \leftarrow 1$  to  $n_1$ 
5      do  $L[i] \leftarrow A[p + i - 1]$ 
6  for  $j \leftarrow 1$  to  $n_2$ 
7      do  $R[j] \leftarrow A[q + j]$ 
8   $L[n_1 + 1] \leftarrow \infty$ 
9   $R[n_2 + 1] \leftarrow \infty$ 
10  $i \leftarrow 1$ 
11  $j \leftarrow 1$ 
12 for  $k \leftarrow p$  to  $r$ 
13     do if  $L[i] \leq R[j]$ 
14         then  $A[k] \leftarrow L[i]$ 
15              $i \leftarrow i + 1$ 
16         else  $A[k] \leftarrow R[j]$ 
17              $j \leftarrow j + 1$ 
```

Assumption: Array A is sorted from positions p to q and also from positions q+1 to r.

$O(n)$  time

MERGE-SORT( $A, p, r$ )

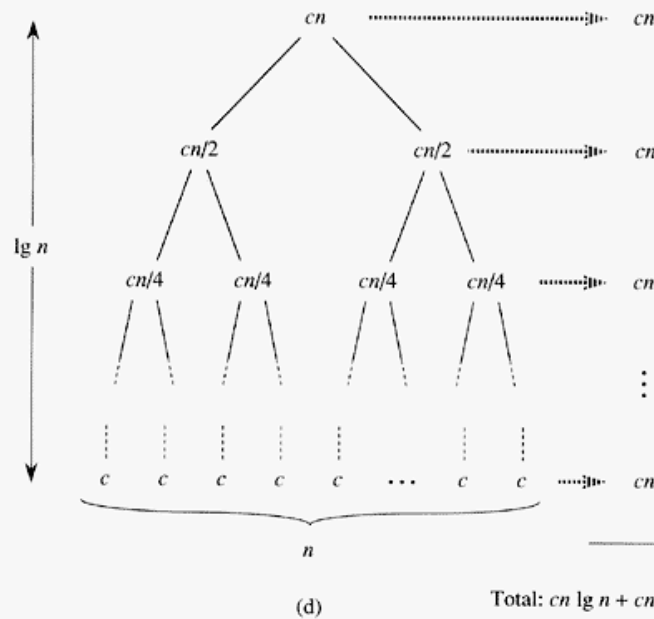
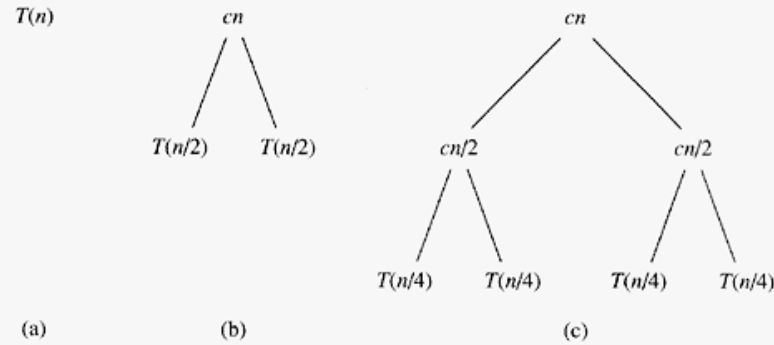
1   **if**  $p < r$

2       **then**  $q \leftarrow \lfloor (p + r) / 2 \rfloor$

3           MERGE-SORT( $A, p, q$ )

4           MERGE-SORT( $A, q + 1, r$ )

5           MERGE( $A, p, q, r$ )



**Figure 2.5** The construction of a recursion tree for the recurrence  $T(n) = 2T(n/2) + cn$ . Part (a) shows  $T(n)$ , which is progressively expanded in (b)–(d) to form the recursion tree. The fully expanded tree in part (d) has  $\lg n + 1$  levels (i.e., it has height  $\lg n$ , as indicated), and each level contributes a total cost of  $cn$ . The total cost, therefore, is  $cn \lg n + cn$ , which is  $\Theta(n \lg n)$ .

Recursion Tree Method:  
more examples

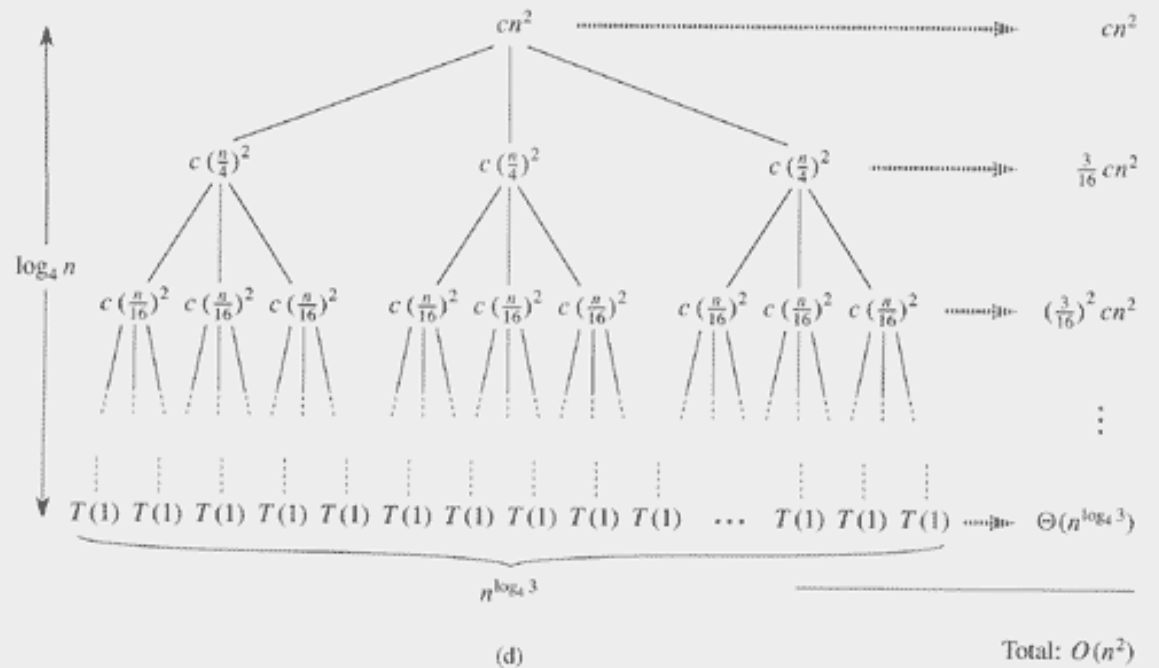
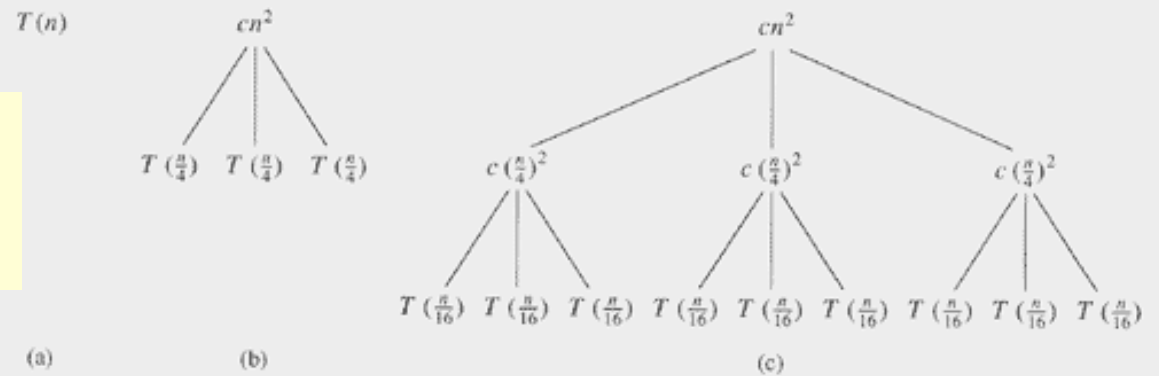
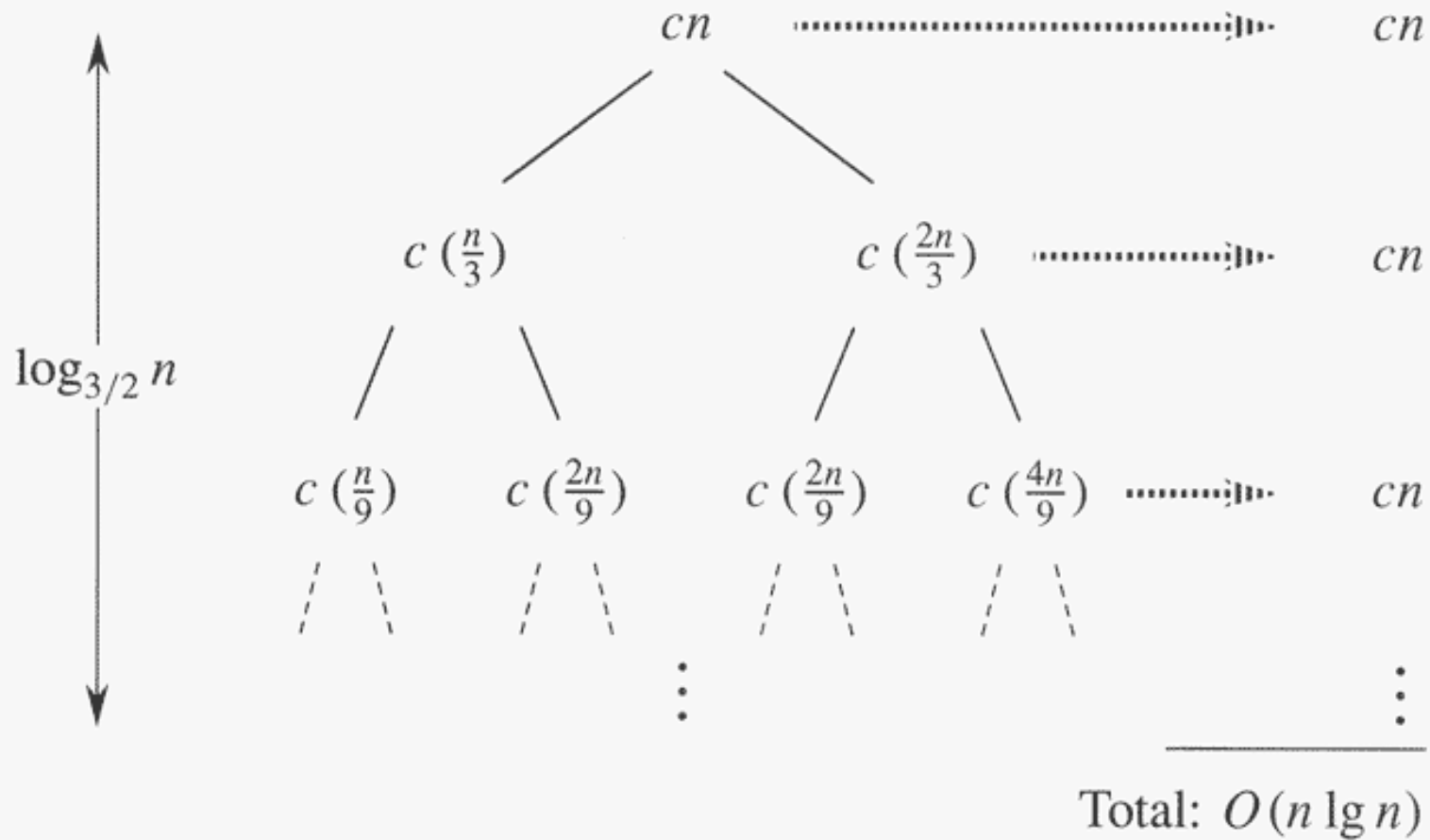


Figure 4.1 The construction of a recursion tree for the recurrence  $T(n) = 3T(n/4) + cn^2$ . Part (a) shows  $T(n)$ , which is progressively expanded in (b)–(d) to form the recursion tree. The fully expanded tree in part (d) has height  $\log_4 n$  (it has  $\log_4 n + 1$  levels).

# Recursion Tree Method: more examples



**Figure 4.2** A recursion tree for the recurrence  $T(n) = T(n/3) + T(2n/3) + cn$ .



MERGE-SORT( $A, p, r$ )

1   **if**  $p < r$

2       **then**  $q \leftarrow \lfloor (p + r) / 2 \rfloor$

3           MERGE-SORT( $A, p, q$ )

4           MERGE-SORT( $A, q + 1, r$ )

5           MERGE( $A, p, q, r$ )

A recurrence relation for MergeSort

$$T(n) \leq 2T(n/2) + O(n)$$

# Solving Recurrence Relations

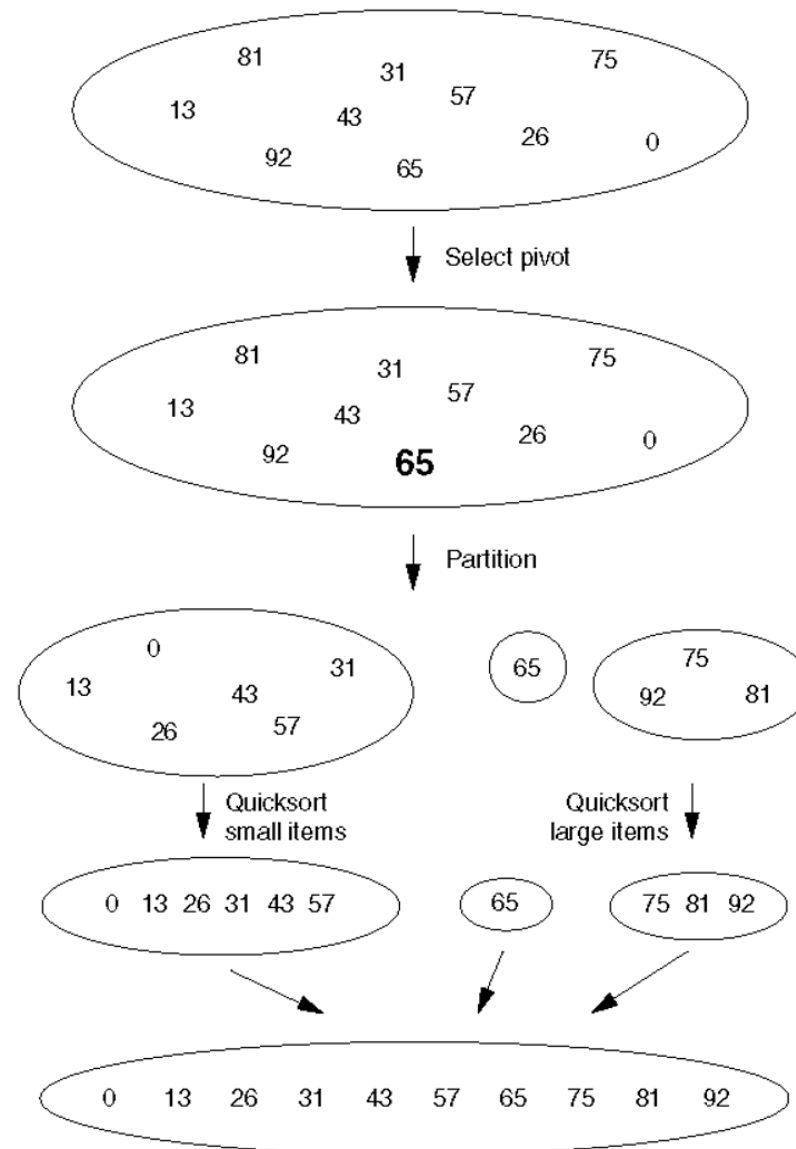
Page 62, [CLR]

Recurrence; Cond	Solution
$T(n) = T(n - 1) + O(1)$	$T(n) = O(n)$
$T(n) = T(n - 1) + O(n)$	$T(n) = O(n^2)$
$T(n) = T(n - c) + O(1)$	$T(n) = O(n)$
$T(n) = T(n - c) + O(n)$	$T(n) = O(n^2)$
$T(n) = 2T(n/2) + O(n)$	$T(n) = O(n \log n)$
$T(n) = aT(n/b) + O(n);$ $a = b$	$T(n) = O(n \log n)$
$T(n) = aT(n/b) + O(n);$ $a < b$	$T(n) = O(n)$
$T(n) = aT(n/b) + f(n);$ $f(n) = O(n^{\log_b a - \epsilon})$	$T(n) = O(n)$
$T(n) = aT(n/b) + f(n);$ $f(n) = O(n^{\log_b a})$	$T(n) = \Theta(n^{\log_b a} \log n)$
$T(n) = aT(n/b) + f(n);$ $f(n) = \Theta(f(n))$ $af(n/b) \leq cf(n)$	$T(n) = \Omega(n^{\log_b a} \log n)$

# Merge: Algorithm Invariants

- Merge (many lists)
  - ??

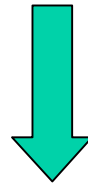
**Figure 8.10** Quicksort



# Partition

**Figure A** If 6 is used as pivot, the end result after partitioning is as shown in the Figure B.

2	1	4	5	0	3	9	8	7	6
---	---	---	---	---	---	---	---	---	---



**Figure B** Result after Partitioning

2	1	4	5	0	3	6	8	7	9
---	---	---	---	---	---	---	---	---	---

## QuickSort

QUICKSORT(*array A, int p, int r*)

```
1  if ( $p < r$ )
2      then  $q \leftarrow \text{PARTITION}(A, p, r)$ 
3          QUICKSORT( $A, p, q - 1$ )
4          QUICKSORT( $A, q + 1, r$ )
```

To sort array call QUICKSORT( $A, 1, \text{length}[A]$ ).

PARTITION(*array A, int p, int r*)

```
1   $x \leftarrow A[r]$  ▷ Choose pivot
2   $i \leftarrow p - 1$ 
3  for  $j \leftarrow p$  to  $r - 1$ 
4      do if ( $A[j] \leq x$ )
5          then  $i \leftarrow i + 1$ 
6              exchange  $A[i] \leftrightarrow A[j]$ 
7  exchange  $A[i + 1] \leftrightarrow A[r]$ 
8  return  $i + 1$ 
```

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# Solving Recurrences by Substitution

- Guess the form of the solution
- (Using mathematical induction) find the constants and show that the solution works

## Example

$$T(n) = 2T(n/2) + n$$

Guess (#1)  $T(n) = O(n)$

Need  $T(n) \leq cn$  for some constant  $c > 0$

Assume  $T(n/2) \leq cn/2$  Inductive hypothesis

Thus  $T(n) \leq 2cn/2 + n = (c+1)n$

**Our guess was wrong!!**

## Solving Recurrences by Substitution: 2

$$T(n) = 2T(n/2) + n$$

Guess (#2)  $T(n) = O(n^2)$

Need  $T(n) \leq cn^2$  for some constant  $c > 0$

Assume  $T(n/2) \leq cn^2/4$  Inductive hypothesis

Thus  $T(n) \leq 2cn^2/4 + n = cn^2/2 + n$

Works for all  $n$  as long as  $c \geq 2$  !!

But there is a lot of "slack"



# Solving Recurrences by Substitution: 3

$$T(n) = 2T(n/2) + n$$

Guess (#3)  $T(n) = O(n \log n)$

Need  $T(n) \leq cn \log n$  for some constant  $c > 0$

Assume  $T(n/2) \leq c(n/2)(\log(n/2))$  Inductive hypothesis

Thus  $T(n) \leq 2c(n/2)(\log(n/2)) + n$   
 $\leq cn \log n - cn + n \leq cn \log n$

**Works for all  $n$  as long as  $c \geq 1$  !!**

**This is the correct guess. WHY?**

Show  $T(n) \geq c'n \log n$  for some constant  $c' > 0$

# Solving Recurrences: Recursion-tree method

- Substitution method fails when a good guess is not available
- Recursion-tree method works in those cases
  - Write down the recurrence as a tree with recursive calls as the children
  - Expand the children
  - Add up each level
  - Sum up the levels
- Useful for analyzing divide-and-conquer algorithms
- Also useful for generating good guesses to be used by substitution method

# Solving Recurrence Relations

Page 62, [CLR]

Recurrence; Cond	Solution
$T(n) = T(n - 1) + O(1)$	$T(n) = O(n)$
$T(n) = T(n - 1) + O(n)$	$T(n) = O(n^2)$
$T(n) = T(n - c) + O(1)$	$T(n) = O(n)$
$T(n) = T(n - c) + O(n)$	$T(n) = O(n^2)$
$T(n) = 2T(n/2) + O(n)$	$T(n) = O(n \log n)$
$T(n) = aT(n/b) + O(n);$ $a = b$	$T(n) = O(n \log n)$
$T(n) = aT(n/b) + O(n);$ $a < b$	$T(n) = O(n)$
$T(n) = aT(n/b) + f(n);$ $f(n) = O(n^{\log_b a - \epsilon})$	$T(n) = O(n)$
$T(n) = aT(n/b) + f(n);$ $f(n) = O(n^{\log_b a})$	$T(n) = \Theta(n^{\log_b a} \log n)$
$T(n) = aT(n/b) + f(n);$ $f(n) = \Theta(f(n))$ $af(n/b) \leq cf(n)$	$T(n) = \Omega(n^{\log_b a} \log n)$

# Solving Recurrences using Master Theorem

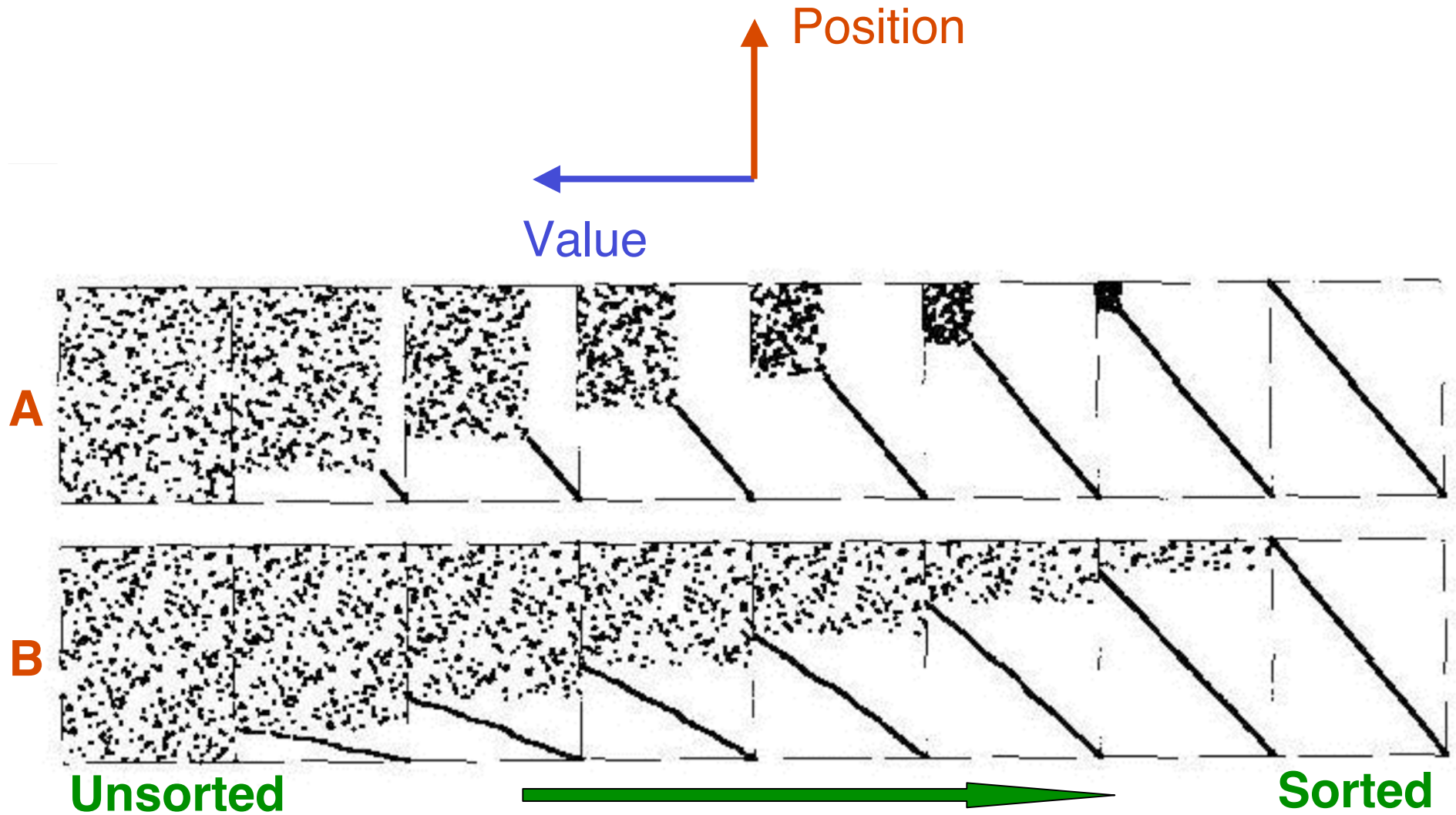
## Master Theorem:

Let  $a, b \geq 1$  be constants, let  $f(n)$  be a function, and let

$$T(n) = aT(n/b) + f(n)$$

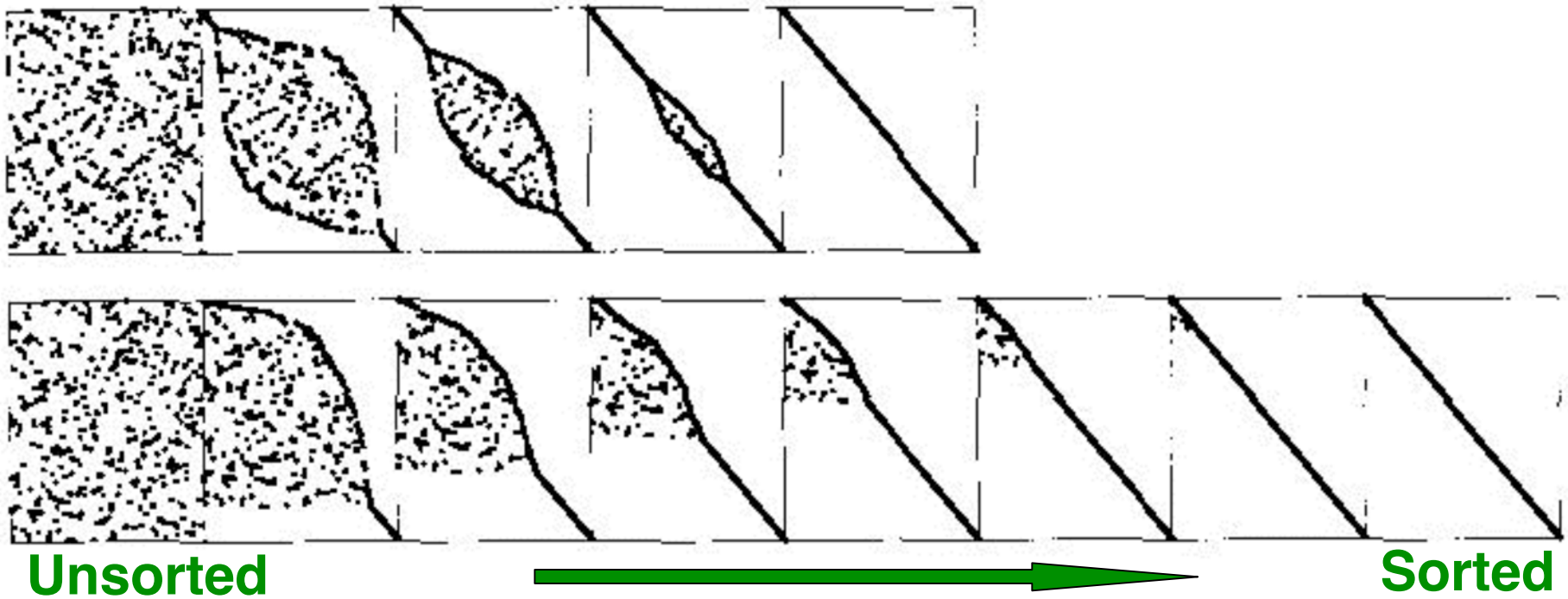
1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  
 $T(n) = \Theta(n^{\log_b a})$
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  
 $T(n) = \Theta(n^{\log_b a} \log n)$
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , then  
 $T(n) = \Theta(f(n))$

# Visualizing Algorithms 1

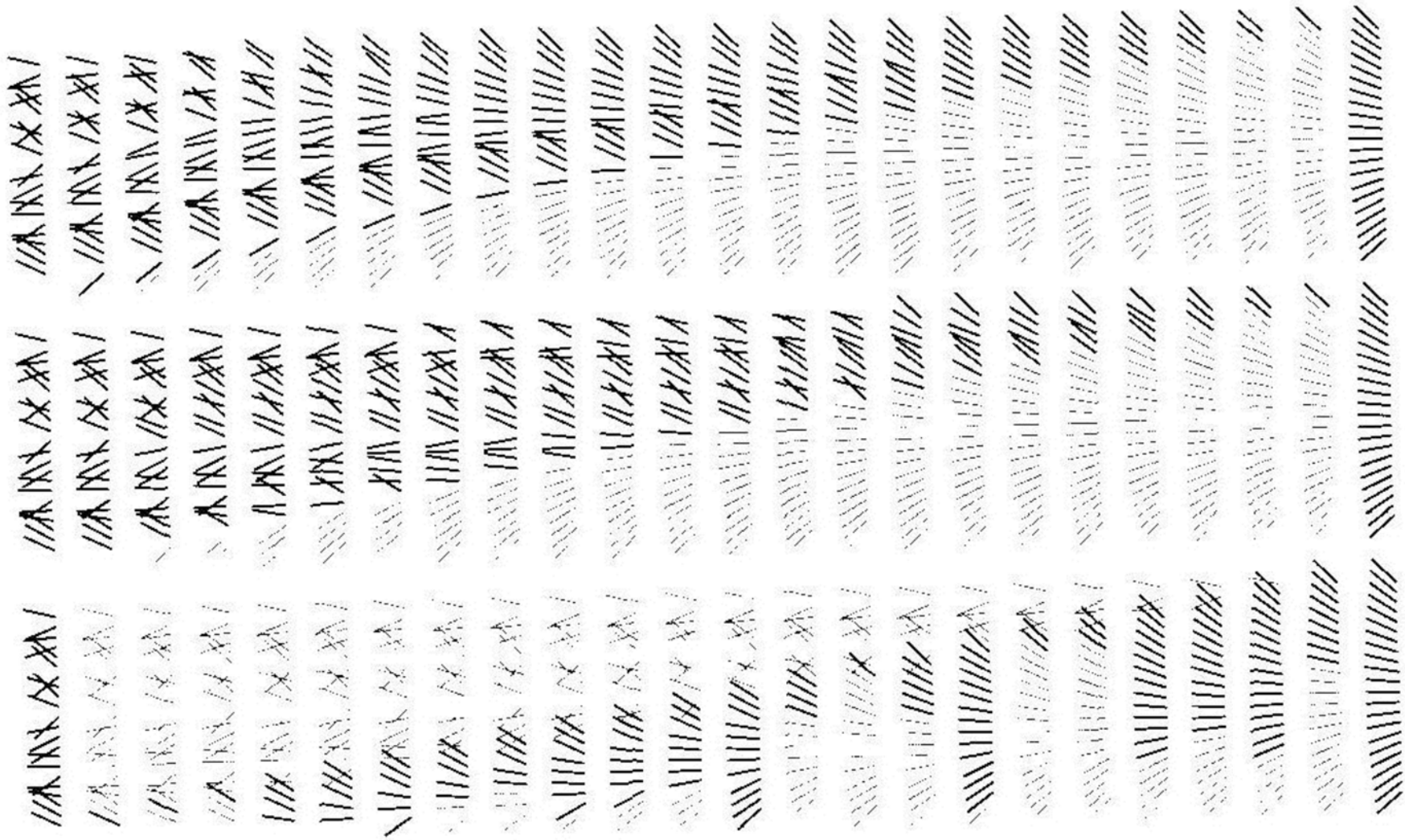


# Visualizing Algorithms 2

Position  
Value



# Visualizing Comparisons 3



# Problems to think about!

- What is the least number of comparisons you need to sort a list of 3 elements? 4 elements? 5 elements?
- How to arrange a tennis tournament in order to find the tournament **champion** with the least number of matches?  
How many tennis matches are needed?