## COT 5407: Introduction to Algorithms

> Giri Narasimhan
> ECS 254A; Phone: $x 3748$ giri@cis.fiu.edu
http://www.cis.fiu.edu/~giri/teach/5407S17.html https://moodle.cis.fiu.edu/v3.1/course/view.php?id=1494

## Greedy Algorithms

Given a set of activities $\left(s_{i}, f_{i}\right)$, we want to schedule the maximum number of non-overlapping activities.
GREEDY-ACTIVITY-SELECTOR ( $s, f$ )

1. $n=$ length[ $s$ ]
2. $S=\left\{a_{1}\right\}$
3. $i=1$
4. for $m=2$ to $n$ do
5. if $s_{m}$ is not before $f_{i}$ then
6. $s=S \cup\left\{a_{m}\right\}$
7. $i=m$
8. return $S$

## Why does it work?

## THEOREM

Let $A$ be a set of activities and let $a_{1}$ be the activity with the earliest finish time. Then activity $a_{1}$ is in some maximum-sized subset of non-overlapping activities.

## - PROOF

Let $S^{\prime}$ be a solution that does not contain $a_{1}$. Let $a^{\prime}{ }_{1}$ be the activity with the earliest finish time in $S^{\prime}$. Then replacing $a^{\prime}{ }_{1}$ by $a_{1}$ gives a solution $S$ of the same size.
Why are we allowed to replace? Why is it of the same size?

Then apply induction! How?

## Greedy Algorithms - Huffman Coding

- Huffman Coding Problem

Example: Release 29.1 of 15-Feb-2005 of TrEMBL Protein Database contains $1,614,107$ sequence entries, comprising $505,947,503$ amino acids. There are 20 possible amino acids. What is the minimum number of bits to store the compressed database?

## $\sim 2.5 \mathrm{G}$ bits or 300 MB .

- How to improve this?
- Information: Frequencies are not the same.

- Idea: Use shorter codes for more frequent amino acids and longer codes for less frequent ones.


## Huffman Coding

2 million characters in file.

> A, C, G, T, N, Y, R, S, M

| IDEA 1: Use ASCII Code Each need at least 8 bits, | IDEA 3: Use Variable Length Codes |  |
| :---: | :---: | :---: |
| Total $=16 \mathrm{M}$ bits $=2 \mathrm{MB}$ |  | 11 |
| IDEA 2: Use 4-bit Codes | T 22 | 10 |
| Each need at least 4 bits, | C 18 | 011 |
| Total $=8 \mathrm{M}$ bits $=1 \mathrm{MB}$ | G 18 | 010 |
|  |  | 001 |
|  | N 10 | 00011 |
| Percentage | Y 5 | 00010 |
| Frequencies | R 4 | 00001 |
|  | S 4 | 00000 |

How to Decode?<br>Need Unique decoding!<br>Easy for Ideas 1 \& 2.<br>What about Idea 3?<br>110101101110010001100000000110<br>110101101110010001100000000110

2 million characters in file.
Length = ?
Expected length = ?
Sum up products of frequency times the code length, i.e.,

$$
(.22 \times 2+.22 \times 2+.18 \times 3+.18 \times 3+.10 \times 3+.05 \times 5+.04 \times 5+.04 \times 5+.03 \times 5) \times 2 \mathrm{M} \text { bits }=
$$

$$
3.24 \mathrm{M} \text { bits }=.4 \mathrm{MB}
$$

## Dynamic Programming

- Activity Problem Revisited: Given a set of $n$ activities $a_{i}=\left(s_{i}, f_{i}\right)$, we want to schedule the maximum number of non-overlapping activities.
New Approach:
- Observation: To solve the problem on activities $A=\left\{a_{1}, \ldots, a_{n}\right\}$, we notice that either
- optimal solution does not include $a_{n}$
- then enough to solve subproblem on $A_{n-1}=\left\{a_{1}, \ldots, a_{n-1}\right\}$
- optimal solution includes $a_{n}$
- Enough to solve subproblem on $A_{k}=\left\{a_{1}, \ldots, a_{k}\right\}$, the set $A$ without activities that overlap $a_{n}$.


## An efficient implementation

- Why not solve the subproblems on $A_{1}, A_{2}, \ldots$, $A_{n-1}, A_{n}$ in that order?
- Is the problem on $A_{1}$ easy?
- Can the optimal solutions to the problems on $A_{1}, \ldots, A_{i}$ help to solve the problem on $A_{i+1}$ ?
- YES! Either:
- optimal solution does not include $a_{i+1}$
- problem on $A_{i}$
- optimal solution includes $a_{i+1}$
- problem on $A_{k}$ (equal to $A_{i}$ without activities that overlap $a_{i+1}$ )
- but this has already been solved according to our ordering.


## Dynamic Programmming: Activity Selection

- Select the maximum number of non-overlapping activities from a set of $n$ activities $A=\left\{a_{1}, \ldots, a_{n}\right\}$ (sorted by finish times).
- Identify "easier" subproblems to solve.
$A_{1}=\left\{a_{1}\right\}$
$A_{2}=\left\{a_{1}, a_{2}\right\}$
$A_{3}=\left\{a_{1}, a_{2}, a_{3}\right\}, \ldots$,
$A_{n}=A$
- Subproblems: Select the max number of nonoverlapping activities from $A_{i}$


## Dynamic Programmming: Activity Selection

- Solving for $A_{n}$ solves the original problem.
- Solving for $A_{1}$ is easy.
- If you have optimal solutions $S_{1}, \ldots, S_{i-1}$ for subproblems on $A_{1}, \ldots, A_{i-1}$, how to compute $S_{i}$ ?
- The optimal solution for $A_{i}$ either
- Case 1: does not include $a_{i}$ or
- Case 2: includes $a_{i}$
- Case 1:
- $S_{i}=S_{i-1}$
- Case 2:
- $S_{i}=S_{k} \cup\left\{a_{i}\right\}$, for some $k<i$.
- How to find such a k? We know that $a_{k}$ cannot overlap $a_{i}$.


## Dynamic Programmming: Activity Selection

## DP-ACTIVITY-SELECTOR ( $s, f$ )

1. $n=$ length[s]
2. $\mathrm{N}[1]=1 \quad / /$ number of activities in $S_{1}$
3.F[1] = $1 \quad / /$ last activity in $\mathrm{S}_{1}$
4.for $i=2$ to $n$ do
3. let $k$ be the last activity finished before $s_{i}$
4. if $(N[i-1]>N[k])$ then // Case 1
5. $N[i]=N[i-1]$
6. $\quad F[i]=F[i-1]$
7. else // Case 2
8. $N[i]=N[k]+1$
9. $\quad \mathrm{F}[\mathrm{i}]=\mathrm{i}$

How to output $\mathrm{S}_{\mathrm{n}}$ ? Backtrack!
Time Complexity?
$O(n \lg n)$

## Dynamic Programming Features

- Identification of subproblems
- Recurrence relation for solution of subproblems
- Overlapping subproblems (sometimes)
- Identification of a hierarchy/ordering of subproblems
- Use of table to store solutions of subproblems (MEMOIZATION)
- Optimal Substructure


## Longest Common Subsequence

$$
\begin{array}{ll}
S_{1}=\text { CORIANDER } & \text { CORIANDER } \\
S_{2}=\text { CREDITORS } & \text { CREDITORS }
\end{array}
$$

Longest Common Subsequence $\left(S_{1}[1 . .9], S_{2}[1 . .9]\right)=$ CRIR
Subproblems:

- LCS[S $\mathrm{S}_{1}[1 . . \mathrm{i}], \mathrm{S}_{2}[1 . . \mathrm{j}]$ ], for all i and j [BETTER]
- Recurrence Relation:
$-\operatorname{LCS}[i, j]=\operatorname{LCS}[i-1, j-1]+1$, if $\left.S_{1}[i]=S_{2}[j]\right)$ $\operatorname{LCS}[i, j]=\max \{\operatorname{LCS}[i-1, j], \operatorname{LCS}[i, j-1]\}$, otherwise
- Table ( $m \times n$ table)
- Hierarchy of Solutions?


## LCS Problem

LCS_Length ( $\mathrm{X}, \mathrm{Y}$ )

1. $m \leftarrow$ length $[X]$
2. $n \leftarrow$ Length $[\mathrm{Y}]$
3. for $i=1$ to $m$
4. do $c[i, 0] \leftarrow 0$
5. for $j=1$ to $n$
6. do $c[0, j]<0$
7. for $i=1$ to $m$
8. do for $j=1$ to $n$
9. do if $(x i=y j)$
10. then $c[i, j] \leftarrow c[i-1, j-1]+1$
11. $b[i, j] \leftarrow$ " $]$ "
12. else if $c[i-1, j] c[i, j-1]$
13. 
14. 
15. 
16. 
17. 
18. return

## LCS Example

|  |  | H | A | B | I | T | A | T |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| A | 0 | $0 \uparrow$ | $1 \kappa$ | $1 \leftarrow$ | $1 \leftarrow$ | $1 \leftarrow$ | $1 \kappa$ | $1 \leftarrow$ |
| L | 0 | $0 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ |
| P | 0 | $0 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ |
| H | 0 | $1 \kappa$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ | $1 \uparrow$ |
| A | 0 | $1 \uparrow$ | $2 \pi$ | $2 \leftarrow$ | $2 \leftarrow$ | $2 \leftarrow$ | $2 \kappa$ | $2 \leftarrow$ |
| B | 0 | $1 \uparrow$ | $2 \uparrow$ | $3 \kappa$ | $3 \leftarrow$ | $3 \leftarrow$ | $3 \leftarrow$ | $3 \leftarrow$ |
| E | 0 | $1 \uparrow$ | $2 \uparrow$ | $3 \uparrow$ | $3 \uparrow$ | $3 \uparrow$ | $3 \uparrow$ | $3 \uparrow$ |
| T | 0 | $1 \uparrow$ | $2 \uparrow$ | $3 \uparrow$ | $3 \uparrow$ | $4 \kappa$ | $4 \leftarrow$ | $4 \pi$ |

## Dynamic Programming vs. Divide-\&-conquer

- Divide-\&-conquer works best when all subproblems are independent. So, pick partition that makes algorithm most efficient \& simply combine solutions to solve entire problem.
- Dynamic programming is needed when subproblems are dependent; we don' t know where to partition the problem. For example, let $S_{1}=\{A L P H A B E T\}$, and $S_{2}=\{$ HABITAT $\}$. Consider the subproblem with $S_{1}{ }^{\prime}=\{A L P H\}, S_{2}{ }^{\prime}=\{H A B I\}$. Then, $\operatorname{LCS}\left(S_{1}{ }^{\prime}, S_{2}{ }^{\prime}\right)+\operatorname{LCS}\left(S_{1}-S_{1}{ }^{\prime}, S_{2}-S_{2}{ }^{\prime}\right) \neq \operatorname{LCS}\left(S_{1}, S_{2}\right)$
- Divide-\&-conquer is best suited for the case when no "overlapping subproblems" are encountered.
- In dynamic programming algorithms, we typically solve each subproblem only once and store their solutions. But this is at the cost of space.


## Dynamic programming vs Greedy

1. Dynamic Programming solves the sub-problems bottom up. The problem can' $\dagger$ be solved until we find all solutions of sub-problems. The solution comes up when the whole problem appears.
Greedy solves the sub-problems from top down. We first need to find the greedy choice for a problem, then reduce the problem to a smaller one. The solution is obtained when the whole problem disappears.
2. Dynamic Programming has to try every possibility before solving the problem. It is much more expensive than greedy. However, there are some problems that greedy can not solve while dynamic programming can. Therefore, we first try greedy algorithm. If it fails then try dynamic programming.

## Fractional Knapsack Problem

- Burglar's choices:

Items: $x_{1}, x_{2}, \ldots, x_{n}$
Value: $v_{1}, v_{2}, \ldots, v_{n}$
Max Quantity: $q_{1}, q_{2}, \ldots, q_{n}$
Weight per unit quantity: $w_{1}, w_{2}, \ldots, w_{n}$
Getaway Truck has a weight limit of $B$.
Burglar can take "fractional" amount of any item. How can burglar maximize value of the loot?

- Greedy Algorithm works!

Pick the maximum possible quantity of highest value per weight item. Continue until weight limit of truck is reached.

## 0-1 Knapsack Problem

- Burglar's choices:

Items: $x_{1}, x_{2}, \ldots, x_{n}$
Value: $v_{1}, v_{2}, \ldots, v_{n}$
Weight: $w_{1}, w_{2}, \ldots, w_{n}$
Getaway Truck has a weight limit of $B$. Burglar cannot take "fractional" amount of item.
How can burglar maximize value of the loot?

- Greedy Algorithm does not work! Why?
- Need dynamic programming!


## 0-1 Knapsack Problem

- Subproblems?
- V[j, L] = Optimal solution for knapsack problem assuming a truck of weight limit $L$ and choice of items from set $\{1,2, \ldots, j\}$.
- $V[n, B]=$ Optimal solution for original problem
- $V[1, L]=$ easy to compute for all values of $L$.
- Table of solutions?
- V[1..n, 1..B]
- Ordering of subproblems?
- Row-wise
- Recurrence Relation? [Either $x_{j}$ included or not]
$-\mathrm{V}[\mathrm{j}, \mathrm{L}]=\max \{\mathrm{V}[j-1, L]$,

$$
\left.v_{j}+V\left[j-1, L-w_{j}\right]\right\}
$$

## 1-d, 2-d, 3-d Dynamic Programming

- Classification based on the dimension of the table used to store solutions to subproblems.
- 1-dimensional DP
- Activity Problem

2-dimensional DP

- LCS Problem
- 0-1 Knapsack Problem
- Matrix-chain multiplication

3-dimensional DP

- All-pairs shortest paths problem

