

COT 5993: Introduction to Algorithms

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Solving Recurrence Relations

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| Recurrence; Cond | Solution |
|---|--------------------------------------|
| $T(n) = T(n - 1) + O(1)$ | $T(n) = O(n)$ |
| $T(n) = T(n - 1) + O(n)$ | $T(n) = O(n^2)$ |
| $T(n) = T(n - c) + O(1)$ | $T(n) = O(n)$ |
| $T(n) = T(n - c) + O(n)$ | $T(n) = O(n^2)$ |
| $T(n) = 2T(n/2) + O(n)$ | $T(n) = O(n \log n)$ |
| $T(n) = aT(n/b) + O(n);$ $a = b$ | $T(n) = O(n \log n)$ |
| $T(n) = aT(n/b) + O(n);$ $a < b$ | $T(n) = O(n)$ |
| $T(n) = aT(n/b) + f(n);$ $f(n) = O(n^{\log_b a - \epsilon})$ | $T(n) = O(n)$ |
| $T(n) = aT(n/b) + f(n);$ $f(n) = O(n^{\log_b a})$ | $T(n) = \Theta(n^{\log_b a} \log n)$ |
| $T(n) = aT(n/b) + f(n);$ $f(n) = \Theta(f(n))$ $af(n/b) \leq cf(n)$ | $T(n) = \Omega(n^{\log_b a} \log n)$ |

Celebrity Problem

- A **Celebrity** is one that knows nobody and that everybody knows.

Celebrity Problem:

INPUT: n persons with a $n \times n$ information matrix.

OUTPUT: Find the “celebrity”, if one exists.

MODEL: Only allowable questions are:

– *Does person i know person j ?*

- Naive Algorithm: $O(n^2)$ Questions.
- Using Divide-and-Conquer: $O(n \log_2 n)$ Questions.
- Improved solution?

Celebrity Problem (Cont'd)

- **Induction Hypothesis 2:** We know how to find $n-2$ non-celebrities among a set of $n-1$ people, i.e., we know how to find at most one person among a set of $n-1$ people that could potentially be a celebrity.
- Resulting algorithm needs $[3(n-1)-1]$ questions.

Sorting Algorithms

- Selection Sort
- Insertion Sort
- Bubble Sort
- Shaker Sort
- Shell Sort
- Merge Sort
- Heap Sort
- Quick Sort

- Bucket & Radix Sort
- Counting Sort

Selection Sort

| Array Position | 0 | 1 | 2 | 3 | 4 | 5 |
|-------------------|---|---|---|---|---|---|
| Initial State | 8 | 5 | 9 | 2 | 6 | 3 |
| After Iteration 1 | 2 | 5 | 9 | 8 | 6 | 3 |
| After Iteration 2 | 2 | 3 | 9 | 8 | 6 | 5 |
| After Iteration 3 | 2 | 3 | 5 | 8 | 6 | 9 |
| After Iteration 4 | 2 | 3 | 5 | 6 | 8 | 9 |
| After Iteration 5 | 2 | 3 | 5 | 6 | 8 | 9 |

Selection Sort

```
algorithm selectionSort( array a, integer N)
// given array a[0..N-1]
{
    for( int p = 0; p < N; p++ )
    {
        Compute j, the index of the smallest item in a[p..N];
        Swap a[p] and a[j];
    }
}
```

Selection Sort

```
algorithm selectionSort( array a, integer N)
// given array a[0..N-1]
{
    for( int p = 0; p < N-1; p++ )
    {
        // Compute j, the index of the smallest item in a[p..N];
        j = p;
        for (int m = p+1; m < N; m++)
            if (a[m] < a[j]) then j = m;
        // Swap a[p] and a[j];
        temp = a[p];
        a[p] = a[j];
        a[j] = temp;
    }
}
```


Figure 8.3

Basic action of insertion sort (the shaded part is sorted)

| Array Position | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------|---|---|---|---|---|---|
| Initial State | 8 | 5 | 9 | 2 | 6 | 3 |
| After $a[0..1]$ is sorted | 5 | 8 | 9 | 2 | 6 | 3 |
| After $a[0..2]$ is sorted | 5 | 8 | 9 | 2 | 6 | 3 |
| After $a[0..3]$ is sorted | 2 | 5 | 8 | 9 | 6 | 3 |
| After $a[0..4]$ is sorted | 2 | 5 | 6 | 8 | 9 | 3 |
| After $a[0..5]$ is sorted | 2 | 3 | 5 | 6 | 8 | 9 |

Figure 8.4

A closer look at the action of insertion sort (the dark shading indicates the sorted area; the light shading is where the new element was placed).

| Array Position | 0 | 1 | 2 | 3 | 4 | 5 |
|---------------------------|---|---|---|---|---|---|
| Initial State | 8 | 5 | | | | |
| After $a[0..1]$ is sorted | 5 | 8 | 9 | | | |
| After $a[0..2]$ is sorted | 5 | 8 | 9 | 2 | | |
| After $a[0..3]$ is sorted | 2 | 5 | 8 | 9 | 6 | |
| After $a[0..4]$ is sorted | 2 | 5 | 6 | 8 | 9 | 3 |
| After $a[0..5]$ is sorted | 2 | 3 | 5 | 6 | 8 | 9 |

Insertion Sort

```
algorithm insertionSort( array a, integer N)
// given array a[0..N-1]
{
    for( int p = 1; p < N; p++ )
    {
        // insert a[p] in its right location
        temp = a[p];
        int j = p;

        while (j > 0 && temp < a[j-1])
            a[j] = a[j-1];
            j = j-1;
        a[j] = temp;
    }
}
```

Figure 8.5

Shellsort after each pass if the increment sequence is {1, 3, 5}

| | | | | | | | | | | | | | |
|--------------|----|----|----|----|----|----|----|----|----|----|----|----|----|
| ORIGINAL | 81 | 94 | 11 | 96 | 12 | 35 | 17 | 95 | 28 | 58 | 41 | 75 | 15 |
| After 5-sort | 35 | 17 | 11 | 28 | 12 | 41 | 75 | 15 | 96 | 58 | 81 | 94 | 95 |
| After 3-sort | 28 | 12 | 11 | 35 | 15 | 41 | 58 | 17 | 94 | 75 | 81 | 96 | 95 |
| After 1-sort | 11 | 12 | 15 | 17 | 28 | 35 | 41 | 58 | 75 | 81 | 94 | 95 | 96 |

ShellSort

```
algorithm shellsort(array a, integer N)
{
    for( int gap = a.length / 2; gap > 0;
        gap = gap == 2 ? 1 : (int) ( gap / 2.2 ) )
        for( int i = gap; i < a.length; i++ )
        {
            tmp = a[ i ];
            int j = i;

            for( j >= gap && tmp < a[ j - gap ] )
                a[ j ] = a[ j - gap ];
                j = j - gap;
            a[ j ] = tmp;
        }
    }
```

Merge Sort

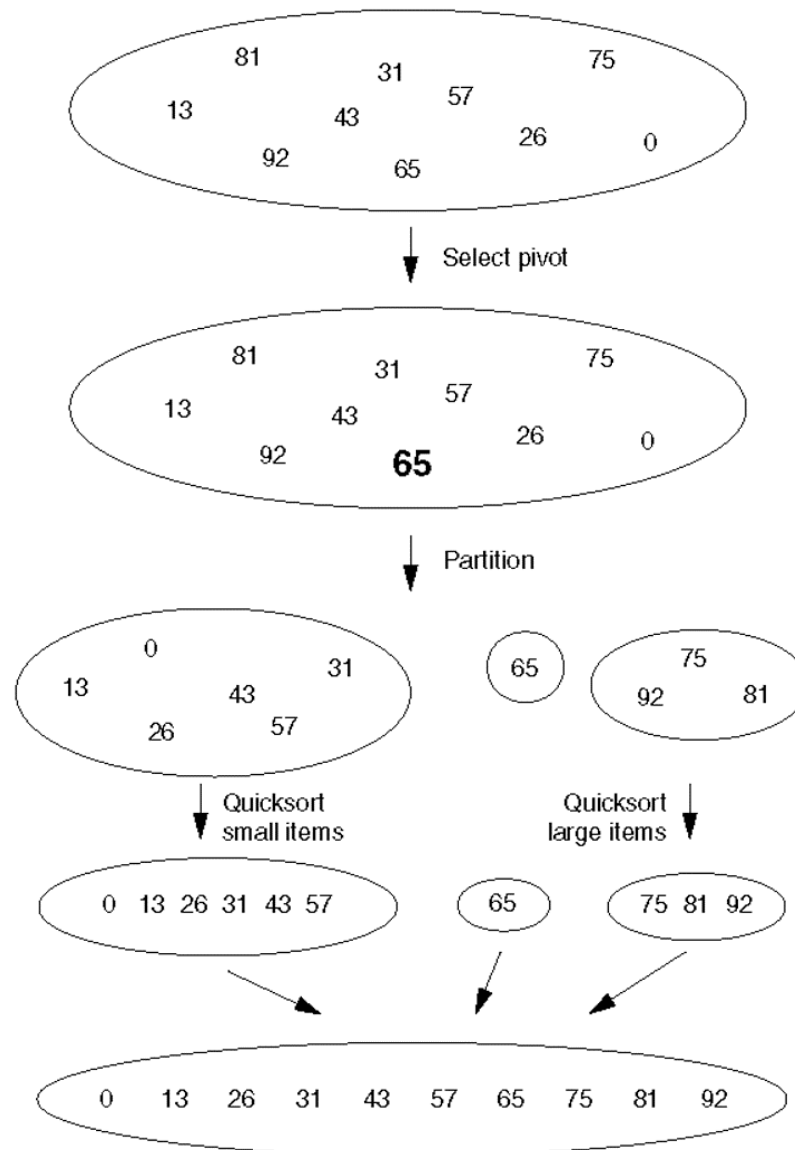
```
algorithm mergeSort( array a, integer left, integer right )
{
    if( left < right )
    {
        int center = ( left + right ) / 2;
        mergeSort( a, left, center );
        mergeSort( a, center + 1, right );
        merge( a, left, center + 1, right );
    }
}
```

Merge in Merge Sort

```
algorithm merge( array a, integer leftPos, integer rightPos, integer rightEnd )
{
    int leftEnd = rightPos - 1;
    int tmpPos = leftPos;
    int numElements = rightEnd - leftPos + 1;
    while( leftPos <= leftEnd && rightPos <= rightEnd )
        if( a[ leftPos ].compareTo( a[ rightPos ] ) < 0 )
            tmpArray[ tmpPos++ ] = a[ leftPos++ ];
        else
            tmpArray[ tmpPos++ ] = a[ rightPos++ ];
    while( leftPos <= leftEnd ) // Copy rest of first half
        tmpArray[ tmpPos++ ] = a[ leftPos++ ];
    while( rightPos <= rightEnd ) // Copy rest of right half
        tmpArray[ tmpPos++ ] = a[ rightPos++ ];

    for( int i = 0; i < numElements; i++, rightEnd-- )
        a[ rightEnd ] = tmpArray[ rightEnd ];
}
```

Figure 8.10 Quicksort



Partition

Figure A If 6 is used as pivot, the end result after partitioning is as shown in the Figure B.

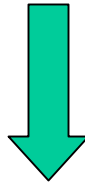
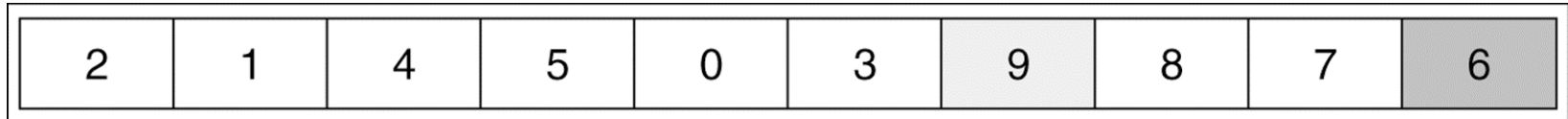
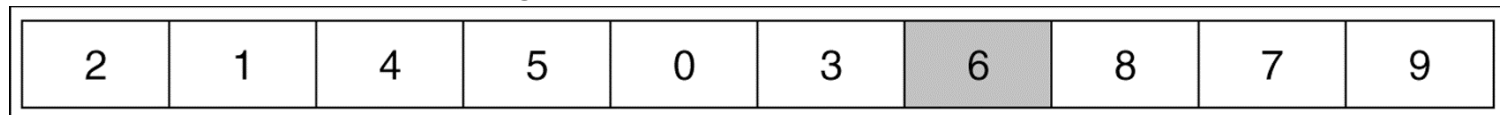


Figure B Result after Partitioning



Algorithm Invariants

- Selection Sort
 - iteration k : the k smallest items are in correct location.
- Insertion Sort
 - iteration k : the first k items are in sorted order.
- Bubble Sort
 - In each pass, every item that does not have a smaller item after it, is moved as far up in the list as possible.
 - Iteration k : k smallest items are in the correct location.
- Shaker Sort
 - In each odd (even) numbered pass, every item that does not have a smaller (larger) item after it, is moved as far up (down) in the list as possible.
 - Iteration k : the $k/2$ smallest and largest items are in the correct location.

Algorithm Invariants (Cont'd)

- Merge (many lists)
 - Iteration k : the k smallest items from the lists are merged.
- Heapify
 - Iteration with $i = k$: Subtrees with roots at indices k or larger satisfy the heap property.
- HeapSort
 - Iteration k : Largest k items are in the right location.
- Partition (two sublists)
 - Iteration k (with pointers at i and j): items in locations $[1..I]$ (locations $[i+1..j]$) are at least as small (large) as the pivot.

Sorting Algorithms

- Number of Comparisons
- Number of Data Movements
- Additional Space Requirements

Sorting Algorithms

- Selection Sort
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- Merge Sort
- Heap Sort
- Quick Sort

- Bucket & Radix Sort
- Counting Sort

Animation Demos

<http://www-cse.uta.edu/~holder/courses/cse2320/lectures/applets/sort1/heapsort.html>

<http://cg.scs.carleton.ca/~morin/misc/sortalg/>

```
algorithm QuickSort(array a, integer p, integer r)
{
  if (p < r) then
    q = Partition(a, p, r)
    QuickSort(a, p, q-1)
    QuickSort(a, q+1, r)
}
```

```
algorithm Partition(array A, integer p, integer r)
{
  x = a[r]
  i = p-1
  for j = p to r-1 do
    if a[j] <= x then
      i++
      exchange(a[i], a[j])
  exchange(a[i+1], a[r])
  return i+1
}
```

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