

# COT 6936: Topics in Algorithms

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[http://www.cs.fiu.edu/~giri/teach/COT6936\\_S12.html](http://www.cs.fiu.edu/~giri/teach/COT6936_S12.html)

<https://online.cis.fiu.edu/portal/course/view.php?id=XXX>

# Expectations

- Attend class
- Do required reading before class
- Participate in class discussions
- Team work; discussion groups
- Solve practical research problems
- Make a presentation; write a report
  - need a research component; may implement
- Write research paper
- No cell phones, SMS, or email during class

# Evaluation

- Exam (1) 20%
- Quizzes 5%
- Homework Assignments 15%
- Semester Project 40%
- Class Participation 20%

# Semester Project & Exam Schedule

- **Milestones:**
  - **By Jan 23:** Meet with me and discuss project
  - **By Jan 30:** Send me email with project team information and topic
  - **Feb 20:** Short presentation giving intro to project, problem definition, notation, and background
  - **March 5:** Take-home Exam
  - **April 16, 23:** Final presentation of project
  - **April 24:** Written report on project

# Homework #1

- Achieving diversity in heights:
  - Largest empty range problem
  - Smallest empty range problem
  - Which is harder and why?
- Binary Counter
  - How many bits were changed when a binary counter is incremented from 0 to  $N$ ?
- Drunken Sailors problem
  - How many sailors will sleep in their own cabins?
- ACM Programming Contest Problems

# Reading

- Read Background
  - Algorithms & Discrete Math Fundamentals
    - Cormen, et al., Chapters 1-16, 22-25
  - NP-Completeness
    - Cormen et al., Chapter 34
    - Appendix (p187-288) from Garey & Johnson
- Next Class
  - Approximation Algorithms
    - Cormen et al., Chapter 35
    - Kleinberg, Tardos, Chapter 11
    - Books by Vazirani and Hochbaum/Shmoys

# What are *NP-Complete* problems?

- These are the hardest problems in *NP*.
- A problem  $p$  is *NP-Complete* if
  - there is a polynomial-time reduction from every problem in *NP* to  $p$ .
  - $p \in NP$
- How to prove that a problem is *NP-Complete*?

- **Cook's Theorem:** [1972]
  - The SAT problem is *NP-Complete*.

Steve Cook, Richard Karp, Leonid Levin

# The SAT Problem: an example

- Consider the boolean expression:  
$$C = (a \vee \neg b \vee c) \wedge (\neg a \vee d \vee \neg e) \wedge (a \vee \neg d \vee \neg c)$$
- Is  $C$  satisfiable? [Does there exist a True/False assignments to the boolean variables  $a, b, c, d, e$ , such that  $C$  is True?]
- If there are  $n$  boolean variables, then there are  $2^n$  different truth value assignments.
- However, a solution can be quickly verified!

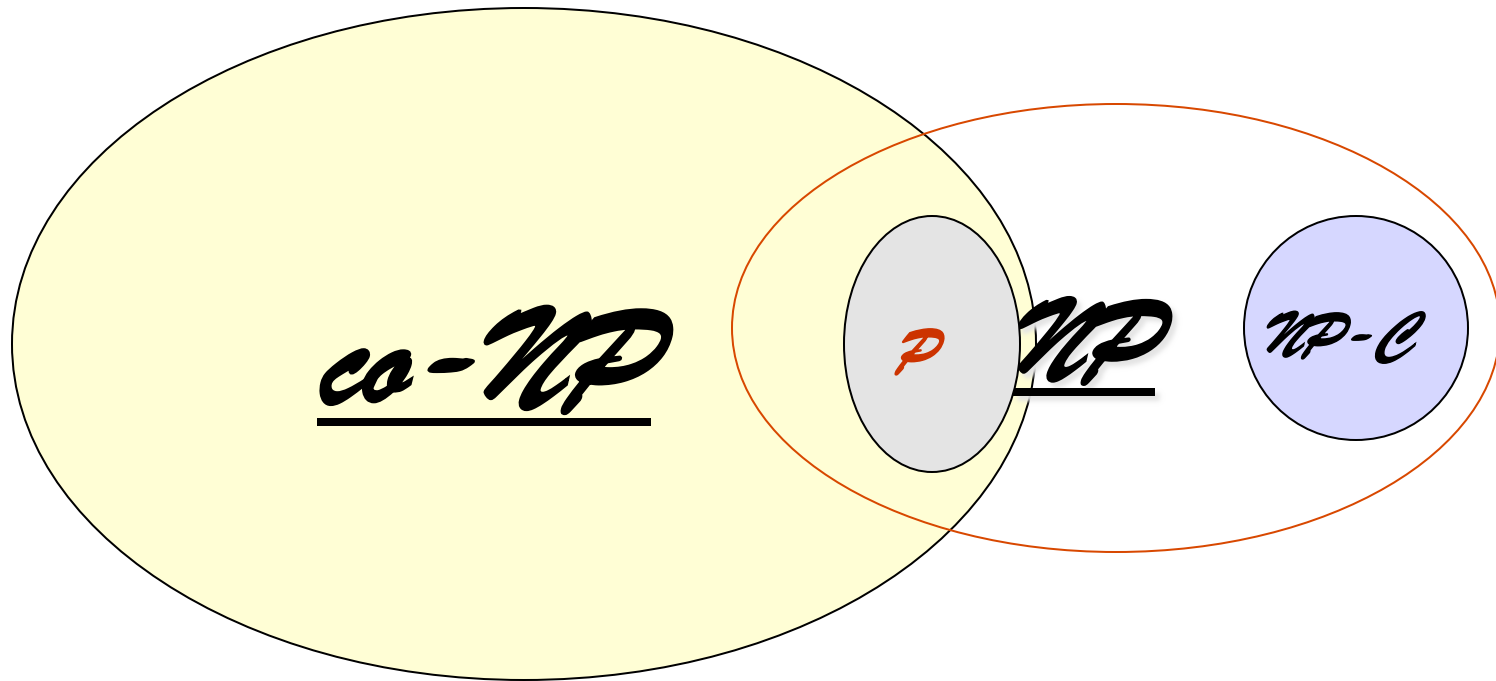


# The SAT (Satisfiability) Problem

- **Input:** Boolean expression  $C$  in Conjunctive normal form (CNF) in  $n$  variables and  $m$  clauses.
- **Question:** Is  $C$  satisfiable?
  - Let  $C = C_1 \wedge C_2 \wedge \dots \wedge C_m$
  - Where each  $C_i = (y_1^i \vee y_2^i \vee \dots \vee y_{k_i}^i)$
  - And each  $y_j^i \in \{x_1, \neg x_1, x_2, \neg x_2, \dots, x_n, \neg x_n\}$
  - We want to know if there exists a truth assignment to all the variables in the boolean expression  $C$  that makes it true.
- **Steve Cook** showed that the problem of deciding whether a non-deterministic Turing machine  $T$  accepts an input  $w$  or not can be written as a boolean expression  $C_T$  for a SAT problem. The boolean expression will have length bounded by a polynomial in the size of  $T$  and  $w$ .

- How to now prove Cook's theorem? Is SAT in  $NP$ ?
- Can every problem in  $NP$  be poly. reduced to it?

# The problem classes and their relationships



## More *NP-Complete* problems

### 3SAT

- **Input:** Boolean expression  $C$  in Conjunctive normal form (CNF) in  $n$  variables and  $m$  clauses. Each clause has at most three literals.
- **Question:** Is  $C$  satisfiable?
  - Let  $C = C_1 \wedge C_2 \wedge \dots \wedge C_m$
  - Where each  $C_i = (y_1^i \vee y_2^i \vee y_3^i)$
  - And each  $y_j^i \in \{x_1, \neg x_1, x_2, \neg x_2, \dots, x_n, \neg x_n\}$
  - We want to know if there exists a truth assignment to all the variables in the boolean expression  $C$  that makes it true.

3SAT is *NP-Complete*.

# 3SAT is *NP-Complete*

- 3SAT is in *NP*.
- SAT can be reduced in polynomial time to 3SAT.
- This implies that every problem in *NP* can be reduced in polynomial time to 3SAT. Therefore, 3SAT is *NP-Complete*.
- So, we have to design an algorithm such that:
  - Input: an instance  $C$  of SAT
  - Output: an instance  $C'$  of 3SAT such that satisfiability is retained. In other words,  $C$  is satisfiable if and only if  $C'$  is satisfiable.

# 3SAT is *NP-Complete*

- Let  $C$  be a SAT instance with clauses  $C_1, C_2, \dots, C_m$
- Let  $C_i$  be a disjunction of  $k > 3$  literals.

$$C_i = \gamma_1 \vee \gamma_2 \vee \dots \vee \gamma_k$$

- Rewrite  $C_i$  as follows:

$$C'_i = (\gamma_1 \vee \gamma_2 \vee z_1) \wedge \\ (\neg z_1 \vee \gamma_3 \vee z_2) \wedge \\ (\neg z_2 \vee \gamma_4 \vee z_3) \wedge \\ \dots \\ (\neg z_{k-3} \vee \gamma_{k-1} \vee \gamma_k)$$

- Claim:  $C_i$  is satisfiable if and only if  $C'_i$  is satisfiable.

## More *NP-Complete* problems?

### 2SAT

- **Input:** Boolean expression  $C$  in Conjunctive normal form (CNF) in  $n$  variables and  $m$  clauses. Each clause has at most three literals.
- **Question:** Is  $C$  satisfiable?
  - Let  $C = C_1 \wedge C_2 \wedge \dots \wedge C_m$
  - Where each  $C_i = (y_1^i \vee y_2^i)$
  - And each  $y_j^i \in \{x_1, \neg x_1, x_2, \neg x_2, \dots, x_n, \neg x_n\}$
  - We want to know if there exists a truth assignment to all the variables in the boolean expression  $C$  that makes it true.

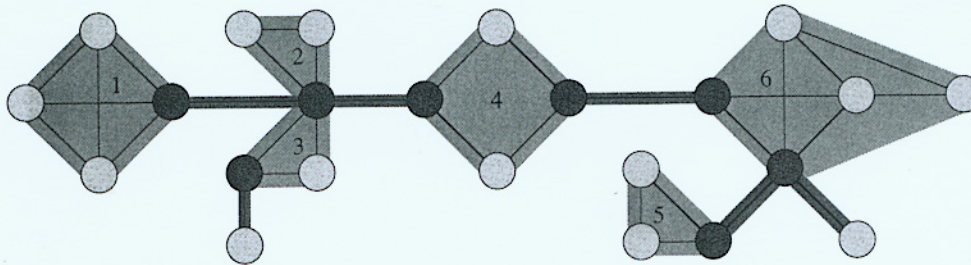
2SAT is in  $\mathcal{P}$ .

# 2SAT is in $\mathcal{P}$

- If there is only one literal in a clause, it must be set to true.
- If there are two literals in some clause, and if one of them is set to false, then the other must be set to true.
- Using these constraints, it is possible to check if there is some inconsistency.
- **How?** Homework: do not submit!

# The CLIQUE Problem

- A **clique** is a completely connected subgraph.



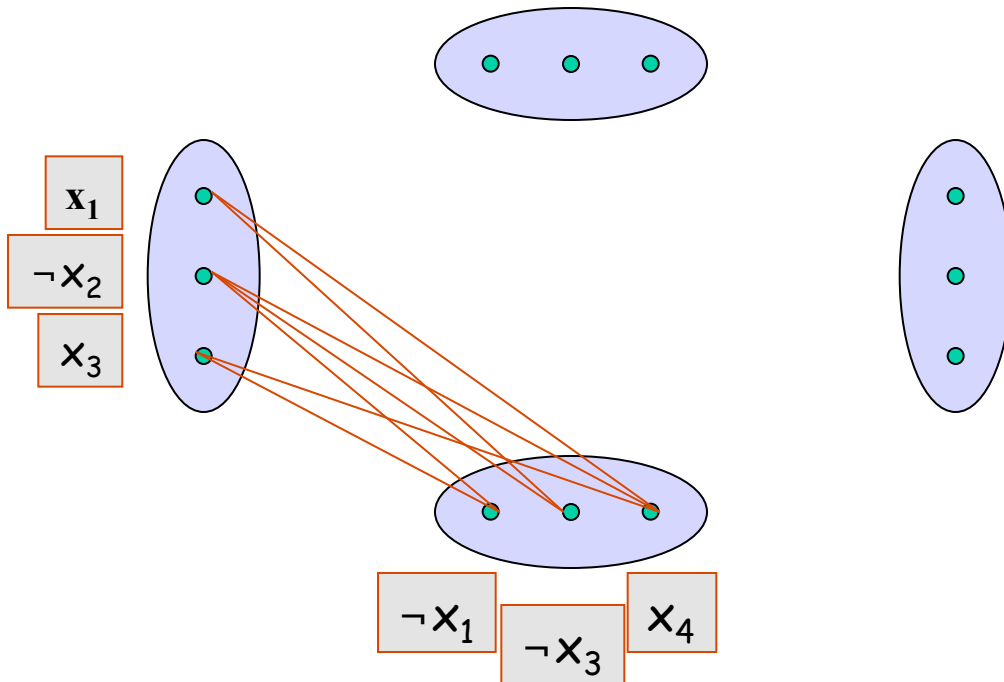
## CLIQUE

- **Input:** Graph  $G(V,E)$  and integer  $k$
- **Question:** Does  $G$  have a clique of size  $k$ ?



# CLIQUE is *NP-Complete*

- CLIQUE is in *NP*.
- Reduce 3SAT to CLIQUE in polynomial time.
- $F = (x_1 \vee \neg x_2 \vee x_3) (\neg x_1 \vee \neg x_3 \vee x_4) (x_2 \vee x_3 \vee \neg x_4) (\neg x_1 \vee \neg x_2 \vee x_3)$

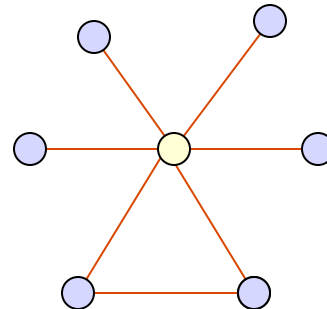
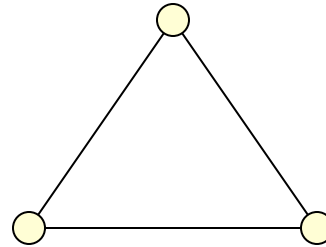


$F$  is satisfiable if and only if  $G$  has a clique of size  $k$  where  $k$  is the number of clauses in  $F$ .

# Vertex Cover

A **vertex cover** is a set of vertices that “covers” all the edges of the graph.

Examples

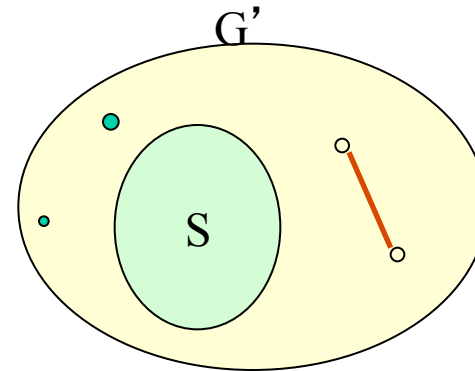
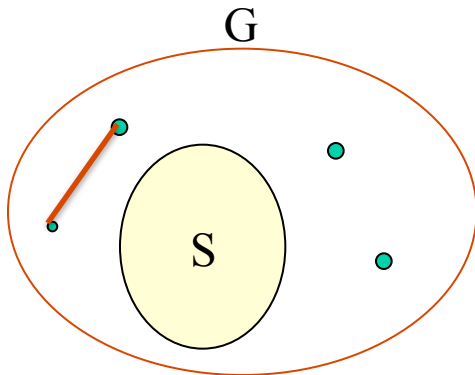


# Vertex Cover (VC)

**Input:** Graph  $G$ , integer  $k$

**Question:** Does  $G$  contain a **vertex cover** of size  $k$ ?

- VC is in *NP*.
- polynomial-time reduction from *CLIQUE* to VC.
- Thus VC is *NP-Complete*.



**Claim:**  $G'$  has a clique of size  $k'$  if and only if  $G$  has a VC of size  $k = n - k'$

# Hamiltonian Cycle Problem (HCP)

Input: Graph  $G$

Question: Does  $G$  contain a **hamiltonian** cycle?

- HCP is in *NP*.
- There exists a polynomial-time reduction from 3SAT to HCP.
- Thus HCP is *NP-Complete*.

# Shortest Path vs Longest Path

**Input:** Graph  $G$  with edge weights, vertices  $u$  and  $v$ , bound  $B$

**Question:** Does  $G$  contain a **shortest path** from  $u$  to  $v$  of length at most  $B$ ?

**Question:** Does  $G$  contain a **longest path** from  $u$  to  $v$  of length at most  $B$ ?

**Homework:** Listen to Cool MP3:

<http://www.cs.princeton.edu/~wayne/kleinberg-tardos/longest-path.mp3>

# Perfect (2-D) Matching vs 3-D Matching

1. Input: Bipartite graph,  $G(U, V, E)$   
Question: Does  $G$  have a perfect matching?
2. Input: Sets  $U$  and  $V$ , and  $E =$  subset of  $U \times V$   
Question: Is there a subset of  $E$  of size  $|U|$  that covers  $U$  and  $V$ ? [Related to 1.]
3. Input: Sets  $U, V, W$ , &  $E =$  subset of  $U \times V \times W$   
Question: Is there a subset of  $E$  of size  $|U|$  that covers  $U, V$  and  $W$ ?

# Coping with NP-Completeness

- **Approximation**: Search for an "almost" optimal solution with provable quality.
- **Randomization**: Design algorithms that find "provably" good solutions with high prob and/or run fast on the average.
- **Restrict** the inputs (e.g., planar graphs), or fix some input **parameters**.
- **Heuristics**: Design algorithms that work "reasonably well".

# Optimization Problems

- Problem:

- A problem is a function (relation) from a set **I** of instances of the problem to a set **S** of solutions.

- $p: I \rightarrow S$

- Decision Problem:

- Problem with **S** = {TRUE, FALSE}

- Optimization Problem:

- Problem with a mapping from set **S** of solutions to a positive rational number called the solution value

- $p: I \rightarrow S \rightarrow m(I,S)$



# Optimization Versions of NP-Complete Problems

- TSP
- CLIQUE
- Vertex Cover & Set Cover
- Hamiltonian Cycle
- Hamiltonian Path
- SAT & 3SAT
- 3-D matching

# Optimization Versions of NP-Complete Problems

- Computing a minimum TSP tour is NP-hard (every problem in NP can be reduced to it in polynomial time)
- BUT, it is not known to be in NP
- If a problem  $P$  is NP-Complete, then its optimization version is NP-hard (i.e., it is at least as hard as any problem in NP, but may not be in NP)
  - Proof by contradiction!

# Performance Ratio

- Approximation Algorithm  $A$ 
  - $A(I)$
- Optimal Solution
  - $OPT(I)$
- Performance Ratio on input  $I$  for minimization problems
  - $R_A(I) = \max \{A(I)/OPT(I), OPT(I)/A(I)\}$
- Performance Ratio of approximation algorithm  $A$ 
  - $R_A = \inf \{r \geq 1 \mid R_A(I) \leq r, \text{ for all instances}\}$

# Metric Space

- It **generalizes** concept of **Euclidean space**
- Set with a distance function (metric) defined on its elements
  - $D: M \times M \rightarrow \mathbb{R}$  (assigns a real number to distance between every pair of elements from the metric space  $M$ )
    - $D(x,y) = 0$  iff  $x = y$
    - $D(x,y) \geq 0$
    - $D(x,y) = D(y,x)$
    - $D(x,y) + D(y,z) \geq D(x,z)$

# Examples of metric spaces

- Euclidean distance
- $L_p$  metrics
- Graph distances
  - Distance between elements is the length of the shortest path in the graph

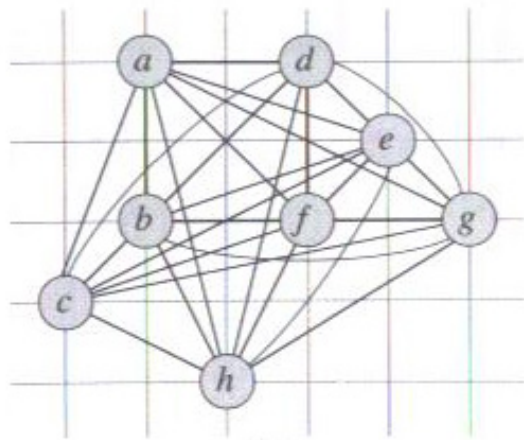
# TSP

- TSP in general graphs cannot be approximated to within a constant (**Why?**)
  - What is the approach?
    - Prove that it is hard to approximate!
- TSP in general metric spaces holds promise!
  - NN heuristic [Rosenkrantz, et al. 77]
    - $NN(I) \leq \frac{1}{2} (\text{ceil}(\log_2 n) + 1) OPT(I)$
  - 2-OPT, 3-OPT, k-OPT, Lin-Kernighan Heuristic
- Can TSP in general metric spaces be approximated to within a constant?

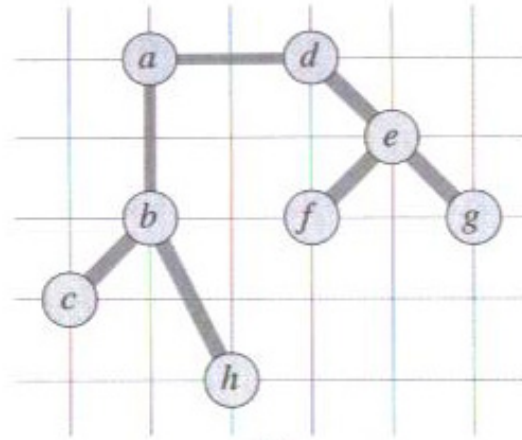
# TSP in Euclidean Space

- TSP in Euclidean space can be approximated.
  - MST Doubling (DMST) Algorithm
    - Compute a MST,  $M$
    - Double the MST to create a tour,  $T_1$
    - Modify the tour to get a TSP tour,  $T$
  - **Theorem:** DMST is a 2-approximation algorithm for Euclidean metrics, i.e.,  $DMST(I) < 2 OPT(I)$
  - **Analysis:**
    - $L(T) \leq L(T_1) = 2L(M) \leq 2L(T_{OPT})$
  - Is the analysis tight?

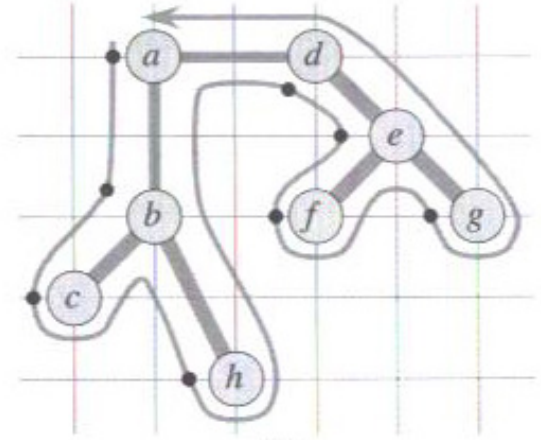
# Example of MST Doubling Algorithm



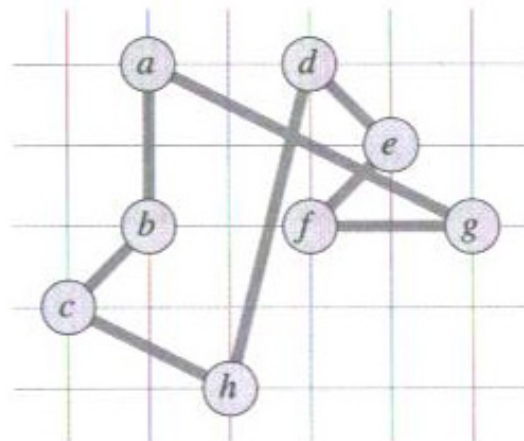
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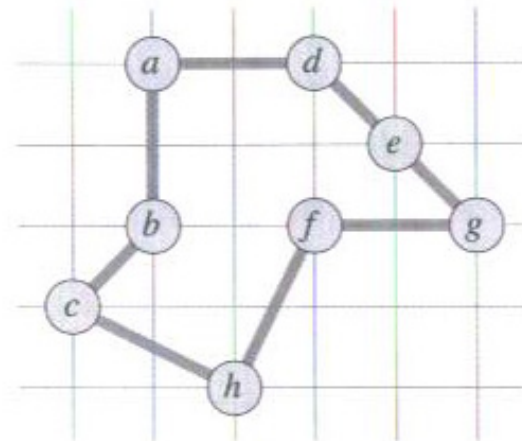
(b)



(c)



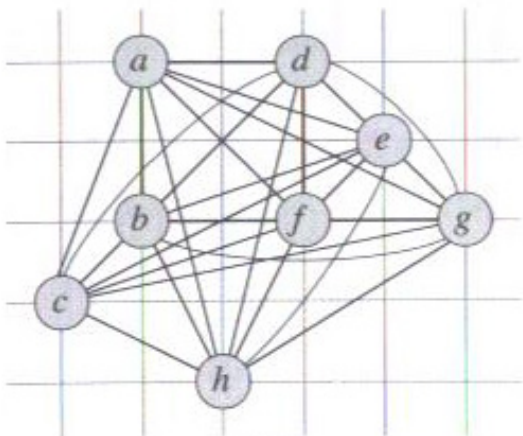
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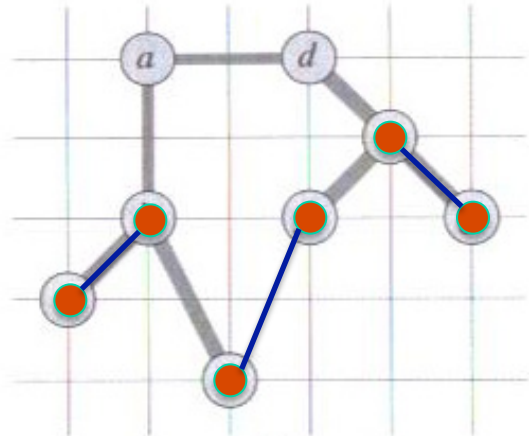
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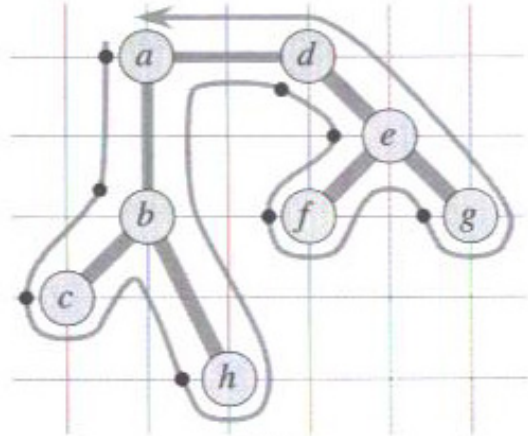
# Example of Christofides Algorithm



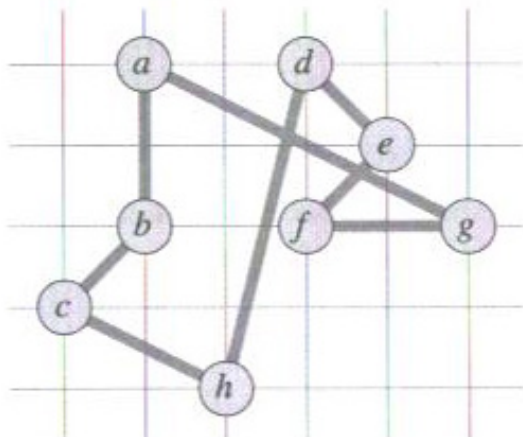
(a)



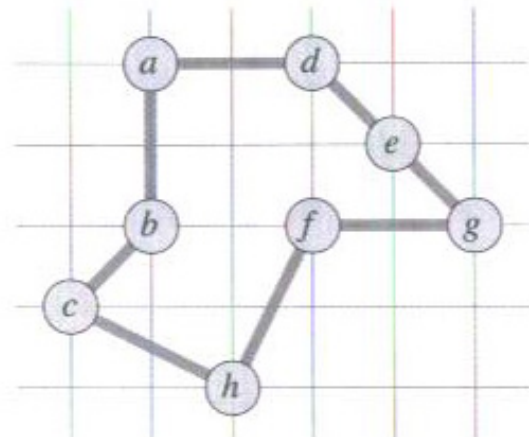
(b)



(c)



(d)



(e)

# TSP in Euclidean Metric

- Improved algorithms
  - $MM(I) < 3/2 OPT(I)$  [Christofides]
    - Christofides observed that DMST has 4 stages:
      - Find MST
      - Double all edges
      - Find Eulerian tour of resulting graph
      - Convert Eulerian tour into TSP tour
    - He modified step 2 to the following
      - Add a matching of odd degree vertices
  - $PTAS(I) < (1+\epsilon) OPT(I)$  [Arora]

# TSP Approximation Algorithm

**Theorem:** The MST doubling algorithm is a 2-approximation algorithm for inputs from any metric space.

# Vertex Cover

- Find the smallest set of vertices that are adjacent to all edges in the graph.
- Approximation Algorithm:
  - Initialize vertex cover  $C$  = empty set
  - while (an edge remains in the graph)
    - Choose arbitrary edge  $e = (u,v)$
    - Add  $u$  and  $v$  to vertex cover  $C$
    - Remove all edges incident on  $u$  or  $v$
  - Output set  $C$
- Analysis:  $|C| \leq 2|C_{OPT}|$  [Is this tight?]

# Greedy Vertex Cover

- Algorithm
  - While graph  $G$  has at least one edge
    - Pick vertex  $v$  of highest degree in  $G$  and add to  $VC$
    - Remove all edges incident on  $v$  in  $G$
- Analysis
  - $|VC| \leq \log n |VC_{OPT}|$  [Is this tight?]

# Greedy Vertex Cover: Analysis

- Pay \$1 for each vertex picked
- If vertex  $v$  was chosen in an iteration, then each edge  $e$  deleted in that iteration was covered with  $\text{cost}(e) = \$ 1/\text{deg}(v)$
- Thus, in each iteration, picking vertex with **max degree** is same as picking vertex with **least average cost per incident edge**
- Size of VC picked = sum of edge costs
- Goal is to bound sum of edge costs

# Greedy Vertex Cover: Analysis

- Label edges in deletion order  $e_1, e_2, \dots, e_m$
- Let  $e_j$  be edge deleted in iteration  $i$
- At least  $m-j+1$  edges remain at start of iteration  $i$  which can be covered by  $C$  with average cost  $K/(m-j+1)$
- Total cost of all edges  $\leq \sum_j K/(m-j+1)$
- $\leq K \log m$

# Greedy Vertex Cover: Analysis

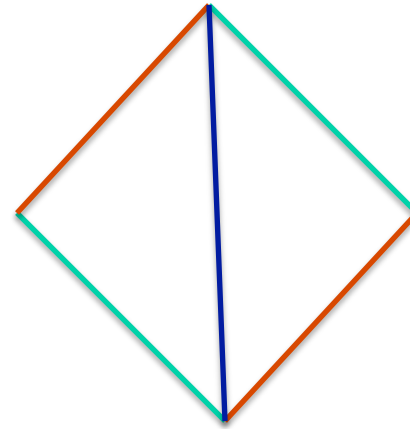
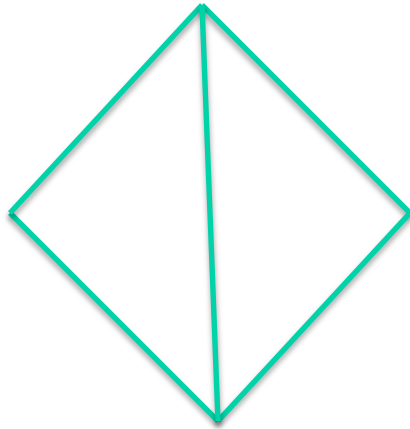
- Performance ratio  $\leq \log n$
- **Is the analysis tight?**
  - Goal is to find graph such that after  $K$  rounds, we are left with half the edges uncovered
  - Make the graph recursive so that we need  $\log n$  such rounds before all edges are covered.
- **Challenge!**
- **Another challenge:** try to generalize to weighted vertex cover problem



# Complements and Approx Algorithms

- Complement of a **clique** subgraph is an **independent set** (i.e., a subgraph with no edges connecting any of the vertices)
- If a vertex cover is **removed** (including all incident edges), what remains?
  - ??
- If the **minimum vertex cover** problem can be 2-approximated, what about the **maximum clique** or **maximum independent set**?
  - ??

# Edge Colorings Example



# Edge Colorings

- **Theorem:** Every graph can be edge colored with at most  $\Delta+1$  colors, where  $\Delta$  is the maximum degree of the graph.
- **Theorem:** No graph can be edge colored with less than  $\Delta$  colors.
- **Theorem:** It is NP-complete to decide whether a graph can be edge colored with  $\Delta$  colors [**Holyer**, 1981]
  - Thus it can be approximated to within an additive constant. Can't do better than that!

# Some NP-Complete **Number** Problems

- Input: set **S** of **n** integers
- **Question 1**: Is there a subset of **S** that adds up to 0?  
- Example:  $\{-7, -3, -2, 5, 8\}$   
**SUBSET-SUM**
- Input: set **S** of **n** integers, and integer **B**
- **Question 2**: Is there a subset of **S** that adds up to **B** (part of input)?  
- Example  
**S** =  $\{267, 493, 869, 961, 1000, 1153, 1246, 1598, 1766, 1922\}$  and **B** = 5842  
**SUBSET-SUM**

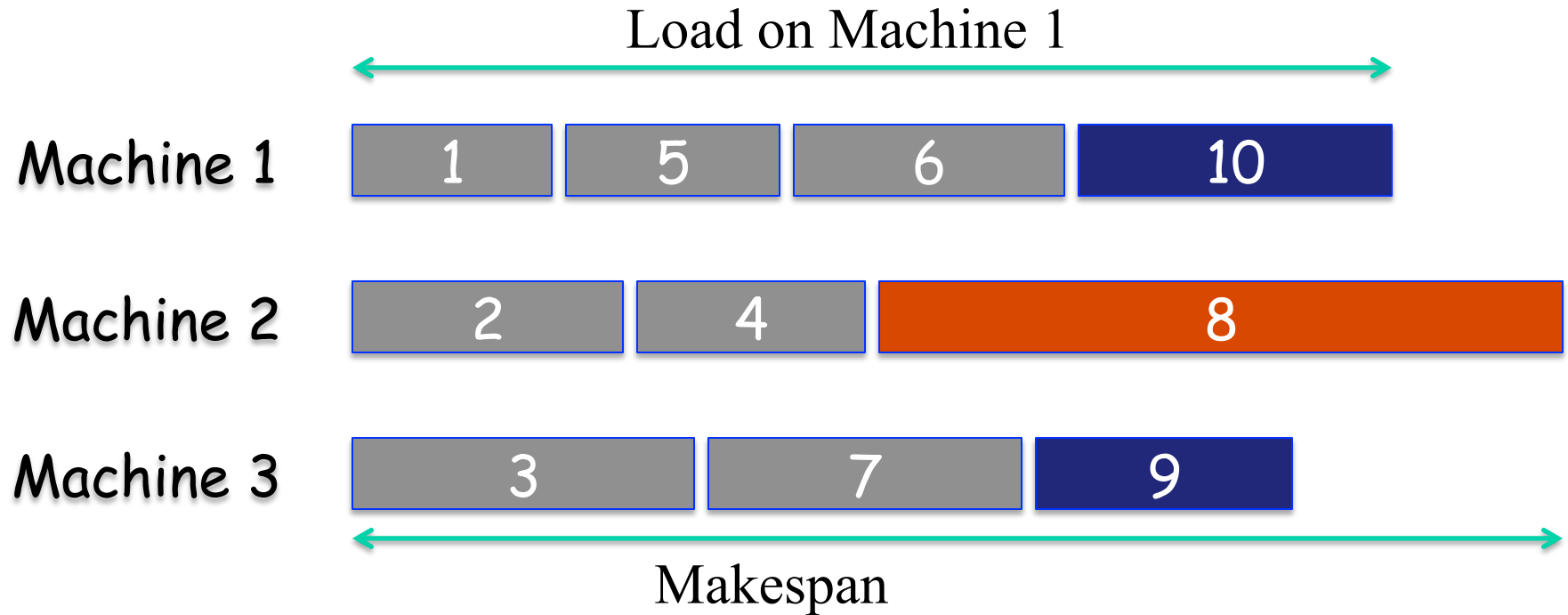
# More NP-Complete Number Problems

- Input: set  $S$  of  $n$  integers
- **Question 3:** Is there a partition of  $S$  into two subsets each with the same sum?
  - Example:  $\{-7, -3, -2, 1, 5, 8\}$  **PARTITION**
- Input: set  $S$  of  $3n$  integers
- **Question 4:** Is there a partition of  $S$  into  $|S|/3$  subsets each of size 3 and each of which adds up to the same value?
  - Strongly NP-Complete! **3-PARTITION**

# Load Balancing

- **Input:**  $m$  identical machines;  $n$  jobs, job  $j$  has processing time  $t_j$ .
  - Job  $j$  must run contiguously on one machine.
  - A machine can process at most one job at a time.
- **Def:** The **load** of machine  $i$  is  $L_i =$  sum of processing times of assigned jobs.
- **Def:** The **makespan** is the maximum load on any machine  $L = \max_i L_i$ .
- **Load balancing:** Assign each job to a machine to minimize makespan. **NP-Complete problem**

# Example



# Greedy Algorithm

- Algorithm:
  - for jobs 1 to n (in any order)
    - Assign job j to machine with least load
- Observations:
  1.  $L_{OPT} \geq \max \{t_1, \dots, t_n\}$
  2.  $L_{OPT} \geq \sum_i t_i / m$  (average load on a machine)
  3. If  $n > m$ , then  $L_{OPT} \geq 2t_{\text{small}}$



# Example

Machine 1    1    5    6    10

Machine 2    2    4    8

Machine 3    3    7    9

Machine 1    1    4    7    10

Machine 2    2    5    8

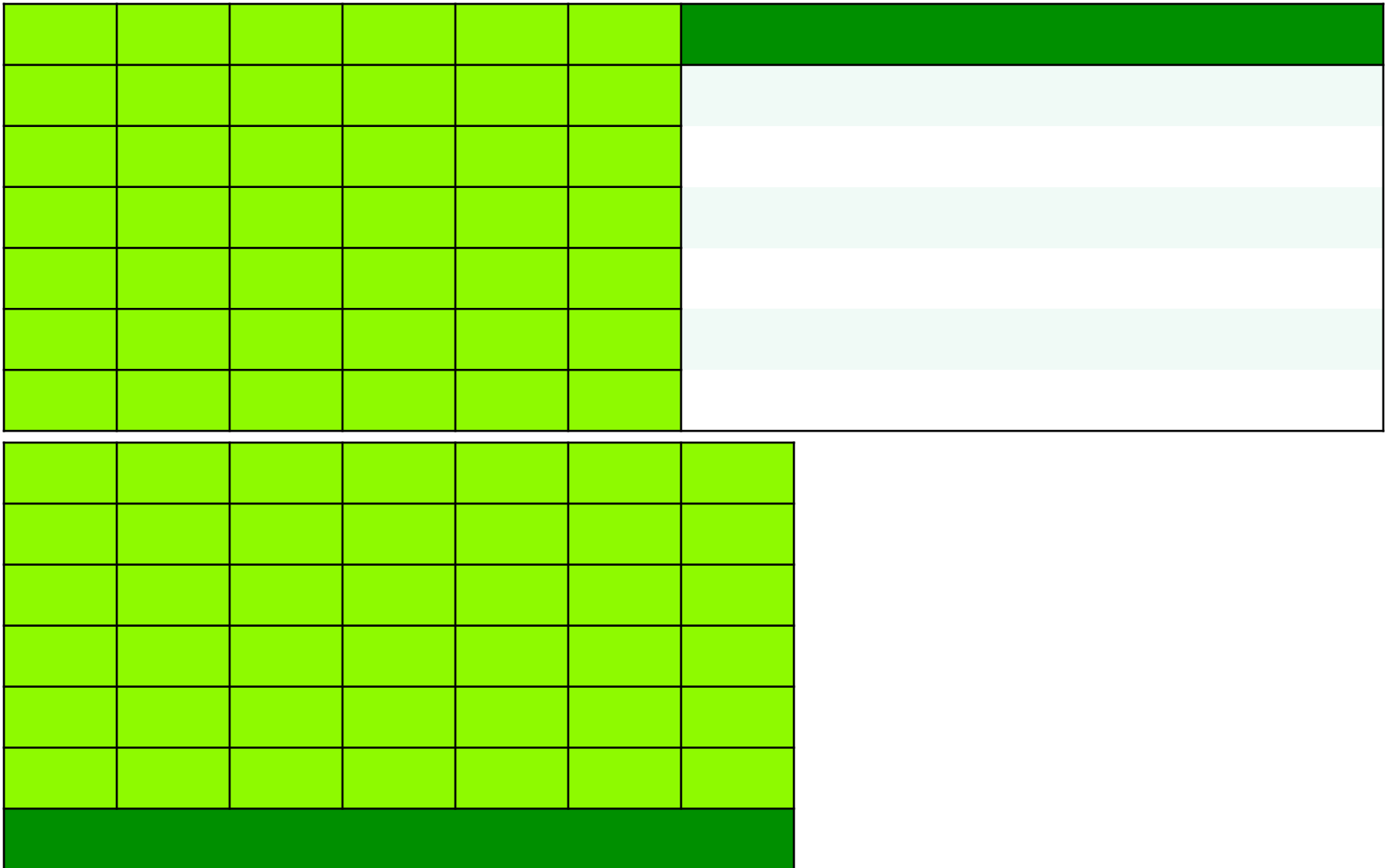
Machine 3    3    6    9

Greedy Algorithm

# Analysis

- **Theorem:** Greedy Algorithm is 2-approximate
- **Proof:**
  - Let  $i$  be machine with maximum load  $L_i$ . Let  $j$  be last job scheduled on it.
  - Before  $j$  was assigned, machine  $i$  had least load.
  - Thus  $L_i - t_j \leq \text{average load} \leq L_{OPT}$
  - $t_j \leq L_{OPT}$
  - $L_i \leq 2L_{OPT}$
- Is the analysis tight?

# Analysis is tight!



# Longest Processing Time (LPT) Algorithm

- **Algorithm:**
  - for jobs 1 to  $n$  (in decreasing order of time)
    - Assign job  $j$  to machine with least load
- **Proof:**
  - Let  $i$  be machine with maximum load  $L_i$ . Let  $j$  be last job scheduled on it.
  - The last job is the shortest and is at most  $L_{OPT}/2$
  - Thus  $L_i$  is at most  $(3/2)L_{OPT}$  [if  $n > m$ ]
- **Is the analysis tight?**
  - **No!**  $(4/3)$ -approximation exists [Graham, 1969]

# Fractional Knapsack Problem

- Burglar's choices:

$n$  bags of valuables:  $x_1, x_2, \dots, x_n$

Unit Value:  $v_1, v_2, \dots, v_n$

Max number of units in bag:  $q_1, q_2, \dots, q_n$

Weight per unit:  $w_1, w_2, \dots, w_n$

Getaway Truck has a weight limit of  $B$ .

Burglar can take "fractional" amount of any item.

How can burglar maximize value of the loot?

- Greedy Algorithm works!

Pick maximum quantity of highest value per weight item. Continue until weight limit  $B$  is reached.

# 0-1 Knapsack Problem

- Burglar's choices:

Items:  $x_1, x_2, \dots, x_n$

Value:  $v_1, v_2, \dots, v_n$

Weight:  $w_1, w_2, \dots, w_n$

Getaway Truck has a weight limit of  $B$ .

"Fractional" amount of items NOT allowed

How can burglar maximize value of the loot?

- Greedy Algorithm does not work! Why?
- Need dynamic programming!

# 0-1 Knapsack Problem: Example

**B** = 12

Item	Value	Weight
1	1	1
2	6	2
3	18	5
4	22	6
5	28	7

# 0-1 Knapsack Problem

- Subproblems?
  - $V[j, L]$  = Optimal solution for knapsack problem assuming truck weight limit  $L$  & choice of items from set  $\{1, 2, \dots, j\}$ .
  - $V[n, B]$  = Optimal solution for original problem
  - $V[1, L]$  = easy to compute for all values of  $L$ .
- Recurrence Relation? [Either  $x_j$  included or not]
  - $V[j, L] = \max \{ V[j-1, L] , v_j + V[j-1, L-w_j] \}$
- Table of solutions?
  - $V[1..n, 1..B]$
- Ordering of subproblems?
  - Row-wise



# Another NP-Complete Number Problem

- Input: set  $S$  of  $n$  items each with values  $\{v_1, \dots, v_n\}$  and weights  $\{w_1, \dots, w_n\}$ ; Knapsack with weight limit  $B$  and value  $V$
- **Question:** Is there a choice of items from  $S$  whose weights add up to at most  $B$  and whose value adds up to at least  $V$ ?

KNAPSACK

# Knapsack Problem

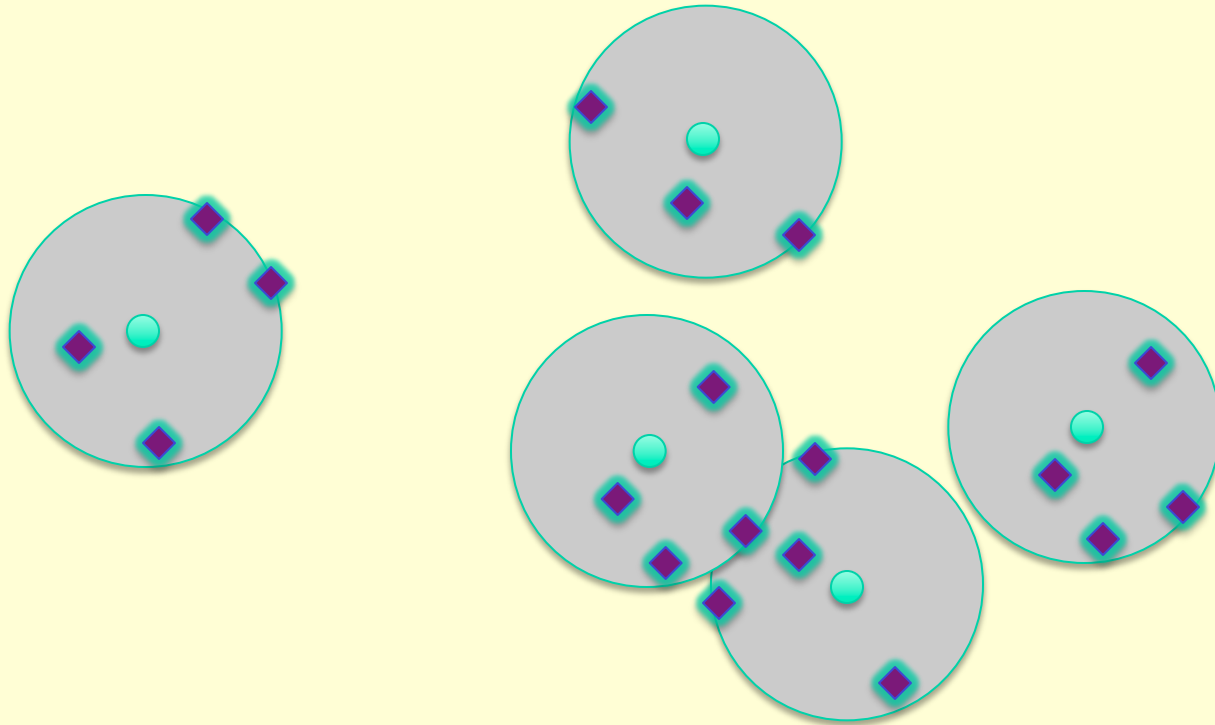
- The 0-1 Knapsack problem is NP-Complete.
- The 0-1 Knapsack problem can be solved exactly in  $O(nB)$  time.
- Does this mean  $P = NP$ ? What is going on here?
- What we have here is a **pseudo-polynomial time algorithm**. Why?

# Knapsack: Approximations

- Greedy Algorithm is 2-approximate
  - Sort items by value/weight
  - Greedily add items to knapsack if it does not exceed the weight limit
- Improved algorithm is  $(1 + 1/k)$ -approximate [Sahni, 1975]
  - Time complexity is polynomial in  $n$ ,  $\log V$ , and  $\log B$
  - Time complexity is exponential in  $k$
  - This is a "approximation scheme"
  - Implies cannot get to within an additive constant!

# Clustering

- Set of points  $\{p_1, \dots, p_n\}$  in  $\mathbb{R}^d$
- Typical data mining problem is to find  $k$  clusters in this data

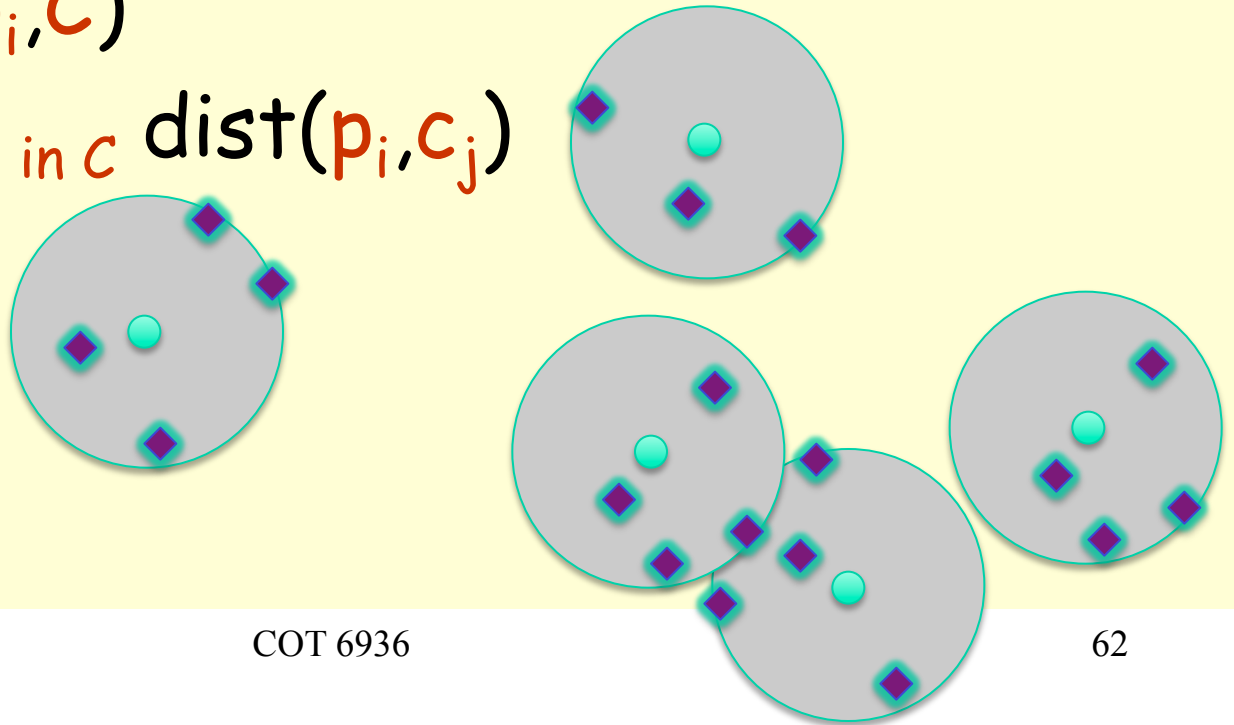


# Clustering

- Requires a distance function
  - Euclidean distance ( $L_2$  distance) and  $L_p$  metrics
  - Mahalanobis distance
  - Pearson Correlation Coefficient
  - General metric distance
- Requires an objective function to optimize
  - Maximum distance to a center
  - Sum of distances to a center
  - Median of distance to a center
- Can any point be center? (finite vs infinite)

# Clustering

- Set of points  $S = \{p_1, \dots, p_n\}$  in  $\mathbb{R}^d$
- Find a set of  $k$  centers such that the maximum of the distance of a point to its closest center is minimized.
- $\text{Min}_C \text{Max}_i d(p_i, C)$
- $d(p_i, C) = \text{Min}_{c_j \in C} \text{dist}(p_i, c_j)$



# Well-known clustering techniques

- Algorithms

- K-Means
- Hierarchical clustering
- Clustering using MSTs
- Greedy algorithm

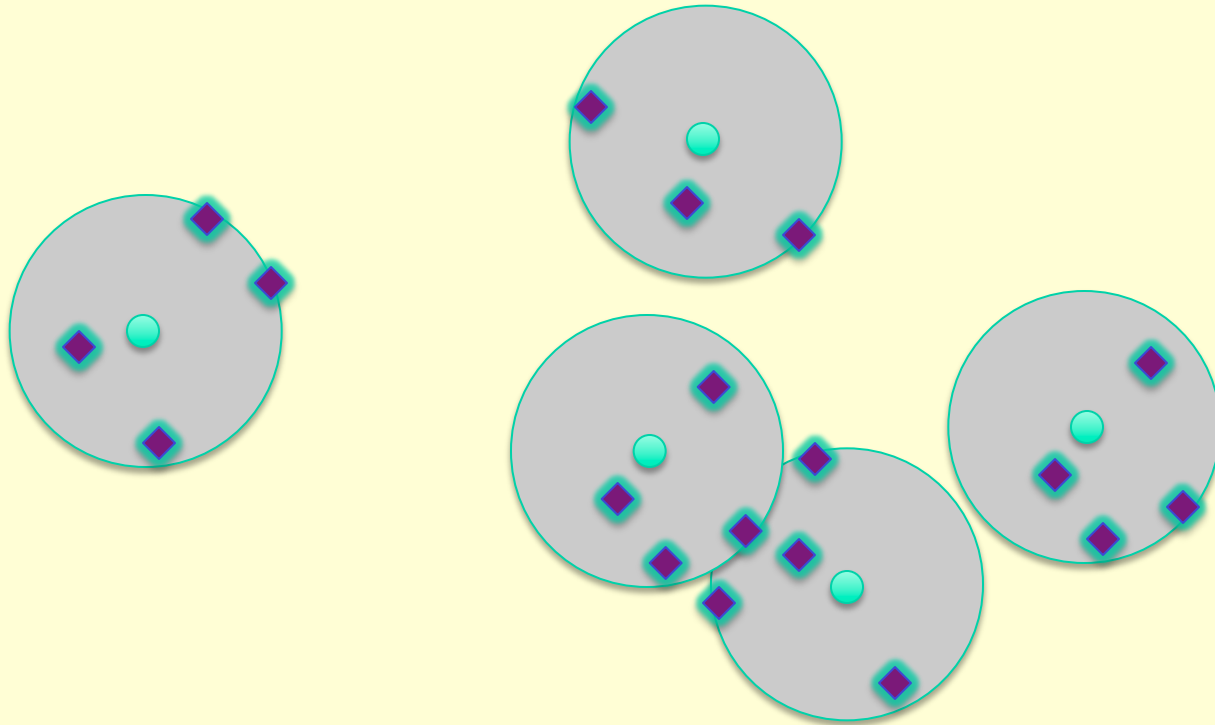
- Put first center at best possible location for single center; then keep adding centers to reduce covering radius each time by as much as possible.

- Disadvantages

- All three are heuristic algorithms (solutions not optimal, no provable approximation factor)

# Clustering: Approximation Algorithm

- Improved Greedy algorithm:
  - Repeatedly choose ( $k$  vertices selected) next center to be site farthest from any existing center. Choose first center arbitrarily.





# Clustering: Approximation Analysis

- Analysis:
  - Let  $r$  = radius of largest greedy cluster
  - Let  $r_{OPT}$  = radius of largest optimal cluster
  - If distance from optimal center to every site is  $\leq r_{OPT}$ , then distance from any site to some optimal center is  $\leq r_{OPT}$ . Take ball of radius  $r_{OPT}$  around every greedy center. All optimal centers are covered;
  - Ball of radius  $2r_{OPT}$  around each greedy center will cover every site.
  - Thus  $r \leq 2 r_{OPT}$ .

# Alternative (Corrected) Proof

- Improved Greedy algorithm:
  - Repeatedly choose ( $k$  vertices selected) next center to be site farthest from any existing center
- Analysis:
  - Let  $r$  = min distance between 2 greedy centers &  $r_{OPT}$  = radius of largest cluster in optimal clustering
  - Let  $r > 2r_{OPT}$ . Take ball of radius  $\frac{1}{2}r$  around every greedy center. Exactly one optimal center in each ball (?);
  - Pair optimal and greedy centers  $(c_i, c_i^*)$ .
  - Let  $s$  be any site and  $c_i^*$  be its nearest optimal center
  - $d(s, C) \leq d(s, c_i) \leq d(s, c_i^*) + d(c_i^*, c_i) \leq 2r(C^*)$ .
  - Thus  $r(C) \leq 2r(C^*)$ , i.e.,  $r < 2r_{OPT}$

# Observation

- Analysis compared  $r$  with  $r_{OPT}$  without knowing what the optimal clustering looked like!

# Yet Another Proof!

- Improved Greedy algorithm:
  - Repeatedly choose ( $k$  vertices selected) next center to be site farthest from any existing center
- Analysis:
  - Let  $r$  = min distance between 2 greedy centers &  $r_{OPT}$  = radius of largest cluster in optimal clustering
  - Let  $r > 2r_{OPT}$ . Take ball of radius  $\frac{1}{2}r$  around every greedy center. Exactly one optimal center in each ball (?);
  - Ball of radius  $r_{OPT}$  around each greedy center will cover every optimal center. Ball of radius  $2r_{OPT}$  around each greedy center will cover every site.
  - Thus  $r \leq 2 r_{OPT}$ . **CONTRADICTION!**

# Bin Packing

- Given an infinite number of unit capacity bins
- Given finite set of items with rational sizes
- Place items into minimum number of bins such that each bin is never filled beyond capacity
- BIN-PACKING is NP-Complete
  - Reduction from 3-PARTITION

# Bin Packing: Approx Algorithm

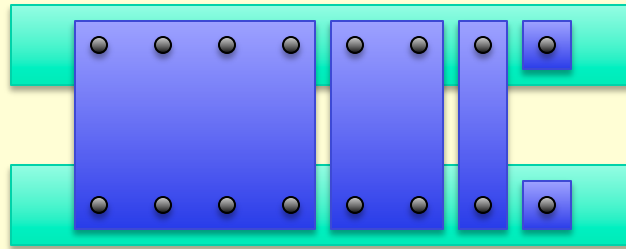
- First-Fit:
  - place item in lowest numbered bin that can accommodate item
    - $FF(I) < 2 OPT(I)$
    - $FF(I) \leq 17/10 OPT(I) + 2$
- First-Fit Decreasing:
  - Sort items in decreasing size and then do first-fit placement
    - $FFD(I) = 11/9 OPT(I) + 4$

# Bin Packing: Approx Algorithm

- Connection to Partition
  - Hard even when you have only 2 bins
  - Cannot approximate to within  $(3/2) - \epsilon$  unless  $P = NP$
  - Can get  $(1 + \epsilon)$  approximation if  $OPT > 2/\epsilon$

# Set Cover

- Greedy Algorithm
  - While there are uncovered items
    - Find set with most uncovered items and add to cover
- Analysis
  - Approximation Ratio =  $\log n$
  - It is tight. In example below, it will pick 5 sets instead of 2.





# Approximability of NP-Hard Problems

Approximation Factor	Problem/Algorithm
$1+\epsilon$	Euclidean TSP (Arora)
1.5	Euclidean TSP (Christofides)
2	Vertex Cover
c	Coloring
$\log n$	Set Cover
$\log^2 n$	
$\sqrt{n}$	
$n^\epsilon$	Independent Set, Clique
n	General TSP

Reading  
Assignment

# Required Reading for Feb 6

- Network Flow
  - Ford Fulkerson Algorithm
- Linear Programming
  - Standard LP
  - Dual LP
  - Feasibility and feasible region