## COT 6936: Topics in Algorithms

## Giri Narasimhan

Monte Carlo vs Las Vegas

Balls and Bins
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https://moodle.cis.fiu.edu/v2.1/course/view.php?id=612

$$
\text { Jan } 30 \text { \& Feb 4, } 2014
$$

## Presentation Outline

Topics in Algorithms

1 Randomized Algorithms

Randomized Algorithms

2 QuickSort
3 Min-Cuts
4 Monte Carlo vs Las Vegas
5 Balls and Bins
6 Birthday Paradox
7 Chain Hashing
8 Randomized MAX-3SAT
9 Contention Resolution
10 Two Choices

## What is a Randomized Algorithm?

■ It is an algorithm that has random steps,

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## What is a Randomized Algorithm?

■ It is an algorithm that has random steps, i.e., actions that depend on the result of a coin toss or a random number generator

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- Applications
- Protocol in Ethernet Cards to decide when it should (re)try access to shared medium


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- Primality testing and crytpography


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- Protocol in Ethernet Cards to decide when it should (re)try access to shared medium
- Primality testing and crytpography
- Monte Carlo simulations
- ...


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- Applications
- Protocol in Ethernet Cards to decide when it should (re)try access to shared medium
- Primality testing and crytpography
- Monte Carlo simulations
- Monte Calo simulations

■ Advantages: Often easier to implement and more efficient

## Example: Monte Carlo Simulations

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Determining $\pi$
0,1 1,1
Square $=1$
Circle $=\pi / 4$
The probability a random point in square is in circle:

$$
=\pi / 4
$$

0,0


$$
\pi=4 * \text { points in circle/points }
$$

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## QuickSort vs Randomized QuickSort

■ QuickSort

- Pick a fixed pivot
- Partition input based on pivot into two sets
- Recursively sort the two partitions


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## QuickSort: Probabilistic Analysis

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Worst-case $=O\left(n^{2}\right)$

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To analyze average case, we need to know input distribution

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Worst-case $=O\left(n^{2}\right)$
To analyze average case, we need to know input distribution
■ Expected rank of pivot $=n / 2$.

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- Expected rank of pivot $=n / 2$. (Why?)

■ Expected size of sublists after partition $=n / 2$

## QuickSort: Probabilistic Analysis

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- Thus recurrence relation is

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T(n)=2 T(n / 2)+O(n)
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- Average Time Complexity $=$

$$
T(n)=O(n \log n)
$$

## Randomized QuickSort: Randomized Analysis

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$■$ Let $y_{1}, y_{2}, \ldots, y_{n}$ be the input set in sorted order.

## Randomized QuickSort: Randomized Analysis

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■ Let $y_{1}, y_{2}, \ldots, y_{n}$ be the input set in sorted order.
■ For $i<j$, let $X_{i j}$ be a random variable that takes on value 1 if $y_{i}$ is compared to $y_{j}$ and 0 otherwise.

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- By linearity of expectation, we have

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E[X]=E\left[\sum_{i=1}^{n-1} \sum_{j=i+1}^{n} X_{i j}\right]=\sum_{i=1}^{n-1} \sum_{j=i+1}^{n} E\left[X_{i j}\right]
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$$
\begin{aligned}
E[X] & =\sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \frac{2}{j-i+1} \\
& =\sum_{i=1}^{n-1} \sum_{k=2}^{n-i+1} \frac{2}{k}=\sum_{k=2}^{n} \sum_{i=1}^{n+1-k} \frac{2}{k}
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& =\sum_{k=2}^{n}(n+1-k) \frac{2}{k}
\end{aligned}
$$

## Randomized QuickSort: Randomized Analysis

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& =\sum_{k=2}^{n}(n+1-k) \frac{2}{k} \\
& =\left((n+1) \sum_{k=2}^{n} \frac{2}{k}\right)-2(n-1)
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& =(2 n+2) \sum_{k=1}^{n} \frac{1}{k}-4 n
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& =(2 n+2) \sum_{k=1}^{n} \frac{1}{k}-4 n \\
& =2 n \ln n+\Theta(n)
\end{aligned}
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■ Cut-set 1: $(\{a, b, c, d\},\{e, f, g\}) \quad$ Weight $=19$

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■ Cut-set 2: $(\{a, b, g\},\{c, d, e, f\}) \quad$ Weight $=30$

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## Edge Contraction

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http://en.wikipedia.org/wiki/Edge_contraction

## Edge Contractions and Min-Cuts

■ Lemma: If you are not contracting an edge from the cut-set, edge contractions do not affect the size of min-cuts.

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■ Observation: Most edges are not part of the min-cut.
■ Idea: Use randomization

## Min-Cuts in the Internet Graph

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June 1999 Internet graph, Bill Cheswick http://research.lumeta.com/ches/map/gallery/index.html

## Randomized Algorithms: Unweighted Min-Cut

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## Randomized Algorithms: Unweighted Min-Cut

- Algorithm
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## Randomized Algorithms: Unweighted Min-Cut

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- Steps of the Analysis
- Assume that Unweighted Min-cut has $k$ edges
- Prob $\{$ edge is not in Min-cut $\} \geq 1-2 / n$


## Randomized Algorithms: Unweighted Min-Cut

- Algorithm
- Pick a random edge and contract it until only 2 vertices are remaining
- Report edges connecting the 2 remaining vertices as the min-cut
- Steps of the Analysis
- Assume that Unweighted Min-cut has $k$ edges
- Prob $\{$ edge is not in Min-cut $\} \geq 1-2 / n$
- Prob $\{$ Min-cut is output $\} \geq 2 / n(n-1)$


## Analysis: Unweighted Min-Cut Algorithm (Contd)

■ Observation:
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## Analysis: Unweighted Min-Cut Algorithm (Contd)

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■ If Min-Cut has $k$ edges, then minimum degree of every vertex is $k$. (Why?)

## Analysis: Unweighted Min-Cut Algorithm (Contd)

- Observation:

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■ If Min-Cut has $k$ edges, then minimum degree of every vertex is $k$. (Why?)

- At start, number of edges in graph $\geq k n / 2$


## Analysis: Unweighted Min-Cut Algorithm (Contd)

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- Observation:
- If Min-Cut has $k$ edges, then minimum degree of every vertex is $k$. (Why?)
- At start, number of edges in graph $\geq k n / 2$
- Probability that an edge from Min-Cut is picked in iteration 1 is $\leq k /(k n / 2) \leq 2 / n$


## Analysis: Unweighted Min-Cut Algorithm (Contd)

■ Observation:
■ If Min-Cut has $k$ edges, then minimum degree of every vertex is $k$. (Why?)

- At start, number of edges in graph $\geq k n / 2$
- Probability that an edge from Min-Cut is picked in iteration 1 is $\leq k /(k n / 2) \leq 2 / n$
- Probability that no edge from Min-Cut is picked in iteration 1 is $\geq 1-2 / n$


## Analysis: Unweighted Min-Cut Algorithm (Contd)

■ Iteration i?

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## Analysis: Unweighted Min-Cut Algorithm (Contd)

- Iteration i?

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■ $E_{i}=$ Event that no edge from Min-Cut is picked in iteration $i$

## Analysis: Unweighted Min-Cut Algorithm (Contd)

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- Iteration $i$ ?

■ $E_{i}=$ Event that no edge from Min-Cut is picked in iteration $i$

- $F_{i}=$ Event that no edge from Min-Cut is picked in iteration 1 through $i$


## Analysis: Unweighted Min-Cut Algorithm (Contd)

- Iteration $i$ ?

■ $E_{i}=$ Event that no edge from Min-Cut is picked in iteration $i$

- $F_{i}=$ Event that no edge from Min-Cut is picked in iteration 1 through $i$

$$
\operatorname{Pr}\left(E_{i} \mid F_{i-1}\right) \geq 1-\frac{k}{k(n-i+1) / 2}=1-\frac{2}{n-i+1}
$$

## Analysis: Unweighted Min-Cut Algorithm (Contd)

- Iteration i?

■ $E_{i}=$ Event that no edge from Min-Cut is picked in iteration $i$

- $F_{i}=$ Event that no edge from Min-Cut is picked in iteration 1 through $i$

$$
\operatorname{Pr}\left(E_{i} \mid F_{i-1}\right) \geq 1-\frac{k}{k(n-i+1) / 2}=1-\frac{2}{n-i+1}
$$

■ We need $F_{n-2}$.

## Analysis: Unweighted Min-Cut Algorithm (Contd)

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$$
\begin{aligned}
\operatorname{Pr}\left(F_{n-2}\right) & =\operatorname{Pr}\left(E_{n-2} \cap F_{n-3}\right)=\operatorname{Pr}\left(E_{n-2} \mid F_{n-3}\right) \operatorname{Pr}\left(F_{n-3}\right) \\
& =\operatorname{Pr}\left(E_{n-2} \mid F_{n-3}\right) \cdot \operatorname{Pr}\left(E_{n-3} \mid F_{n-4}\right) \ldots \operatorname{Pr}\left(E_{2} \mid F_{1}\right) \operatorname{Pr}\left(F_{1}\right) \\
& \geq \Pi_{i=1}^{n-2}\left(1-\frac{2}{n-i+1}\right)=\Pi_{i=1}^{n-2} \frac{n-i-1}{n-I+1} \\
& =\left(\frac{n-2}{n}\right)\left(\frac{n-3}{n-1}\right) \ldots \frac{4}{6} \frac{2}{5} \frac{1}{4} \frac{1}{3} \\
& =\frac{2}{n(n-1)} .
\end{aligned}
$$

## Analysis: Unweighted Min-Cut Algorithm (Contd)

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- Probability of contracting only edges not from Min-Cut, i.e., ending up with exactly the Min-Cut


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■ Probability of contracting only edges not from Min-Cut, i.e., ending up with exactly the Min-Cut $\geq 2 / n(n-1)$

- Rather low! Also, dependent on $n$.


## Analysis: Unweighted Min-Cut Algorithm (Contd)

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- Probability of contracting only edges not from Min-Cut, i.e., ending up with exactly the Min-Cut $\geq 2 / n(n-1)$
- Rather low! Also, dependent on $n$.

■ To boost success probability, repeat algorithm.

## Analysis: Unweighted Min-Cut Algorithm (Contd)

■ Probability of contracting only edges not from Min-Cut, i.e., ending up with exactly the Min-Cut $\geq 2 / n(n-1)$

- Rather low! Also, dependent on $n$.
- To boost success probability, repeat algorithm.
- How many times?


## Analysis: Unweighted Min-Cut Algorithm (Contd)

■ Probability of contracting only edges not from Min-Cut, i.e., ending up with exactly the Min-Cut $\geq 2 / n(n-1)$

- Rather low! Also, dependent on $n$.
- To boost success probability, repeat algorithm.
- How many times?
- Goal: repeat until prob of error is very small


## Analysis: Unweighted Min-Cut Algorithm (Contd)

■ Probability of contracting only edges not from Min-Cut, i.e., ending up with exactly the Min-Cut $\geq 2 / n(n-1)$

■ Rather low! Also, dependent on $n$.

- To boost success probability, repeat algorithm.
- How many times?
- Goal: repeat until prob of error is very small
- Use the following fact: $(1-1 / h)^{h} \leq e$.


## Analysis: Unweighted Min-Cut Algorithm (Contd)

■ Probability of contracting only edges not from Min-Cut, i.e., ending up with exactly the Min-Cut $\geq 2 / n(n-1)$

■ Rather low! Also, dependent on $n$.
■ To boost success probability, repeat algorithm.

- How many times?
- Goal: repeat until prob of error is very small
- Use the following fact: $(1-1 / h)^{h} \leq e$. Thus,

$$
\left(1-\frac{2}{n(n-1)}\right)^{n(n-1) \ln n} \leq e^{-2 \ln n}=\frac{1}{n^{2}}
$$

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## Monte Carlo vs Las Vegas

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■ Monte Carlo algorithms: Always fast. Often correct, but with bounded probability

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■ Monte Carlo algorithms: Always fast. Often correct, but with bounded probability

■ One-sided vs Two-sided errors

## Monte Carlo vs Las Vegas

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■ Monte Carlo algorithms: Always fast. Often correct, but with bounded probability

- One-sided vs Two-sided errors

■ Las Vegas algorithms: Always correct, Often fast

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## Balls and Bins Model

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## Balls and Bins Model

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■ Throw $m$ balls into $n$ bins

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## Balls and Bins Model

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- Throw $m$ balls into $n$ bins
- Location of each ball chosen independently and uniformly at random

■ Questions to ask?

## Balls and Bins Model

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- Throw $m$ balls into $n$ bins
- Location of each ball chosen independently and uniformly at random

■ Questions to ask?

- How many balls in a bin on the average? 2


## Balls and Bins Model

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- Throw $m$ balls into $n$ bins
- Location of each ball chosen independently and uniformly at random

■ Questions to ask?

- How many balls in a bin on the average? 2 Average size of a chain in a hash table


## Balls and Bins Model

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- Throw $m$ balls into $n$ bins
- Location of each ball chosen independently and uniformly at random

■ Questions to ask?

- How many balls in a bin on the average? 2 Average size of a chain in a hash table
- How many bins are empty?


## Balls and Bins Model

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- Throw $m$ balls into $n$ bins
- Location of each ball chosen independently and uniformly at random

■ Questions to ask?

- How many balls in a bin on the average? 2 Average size of a chain in a hash table
- How many bins are empty? $e^{m / n}$


## Balls and Bins Model

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- Throw $m$ balls into $n$ bins
- Location of each ball chosen independently and uniformly at random

■ Questions to ask?
■ How many balls in a bin on the average? 2 Average size of a chain in a hash table

- How many bins are empty? $e^{m / n}$
- How many balls in the fullest bin?


## Balls and Bins Model

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- Throw $m$ balls into $n$ bins
- Location of each ball chosen independently and uniformly at random

■ Questions to ask?

- How many balls in a bin on the average? 2 Average size of a chain in a hash table
- How many bins are empty? $e^{m / n}$
- How many balls in the fullest bin? $\Theta(\ln n / \ln \ln n)$


## Balls and Bins Model

- Throw $m$ balls into $n$ bins
- Location of each ball chosen independently and uniformly at random

■ Questions to ask?
■ How many balls in a bin on the average? 2 Average size of a chain in a hash table

- How many bins are empty? $e^{m / n}$
- How many balls in the fullest bin? $\Theta(\ln n / \ln \ln n)$ Hashing worst-case time
■ If $m=n$, how many bins are expected to have $>1$ balls?


## Balls and Bins Model

- Throw $m$ balls into $n$ bins
- Location of each ball chosen independently and uniformly at random

■ Questions to ask?

- How many balls in a bin on the average? 2 Average size of a chain in a hash table
- How many bins are empty? $e^{m / n}$
- How many balls in the fullest bin? $\Theta(\ln n / \ln \ln n)$ Hashing worst-case time
- If $m=n$, how many bins are expected to have $>1$ balls? Birthday Paradox


## Balls and Bins: Applications

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## Balls and Bins: Applications

- Chain Hashing
- Bucket Sort

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## Balls and Bins: Applications

■ Chain Hashing

- Bucket Sort

■ Hash Tables for passwords

## Balls and Bins: Applications

■ Chain Hashing

- Bucket Sort

■ Hash Tables for passwords

- If entry is not free then password rejected


## Balls and Bins: Applications

■ Chain Hashing

- Bucket Sort

■ Hash Tables for passwords

- If entry is not free then password rejected

■ Bloom Filters (generalize hash tables)

## Balls and Bins: Applications

■ Chain Hashing

- Bucket Sort

■ Hash Tables for passwords
■ If entry is not free then password rejected
■ Bloom Filters (generalize hash tables)
■ See later slides

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## Birthday Paradox

■ Probability that $m$ balls are put into distinct bins is:

$$
\leq\left(1-\frac{1}{n}\right)\left(1-\frac{2}{n}\right) \ldots\left(1-\frac{m-1}{n}\right)=\prod_{j=1}^{m-1}\left(1-\frac{j}{n}\right)
$$

- To achieve probability at least $1 / 2$, we need:


## Birthday Paradox

■ Probability that $m$ balls are put into distinct bins is:

$$
\leq\left(1-\frac{1}{n}\right)\left(1-\frac{2}{n}\right) \ldots\left(1-\frac{m-1}{n}\right)=\prod_{j=1}^{m-1}\left(1-\frac{j}{n}\right)
$$

- To achieve probability at least $1 / 2$, we need:
- $m^{2} / 2 n \geq \ln 2$


## Birthday Paradox

■ Probability that $m$ balls are put into distinct bins is:

$$
\leq\left(1-\frac{1}{n}\right)\left(1-\frac{2}{n}\right) \ldots\left(1-\frac{m-1}{n}\right)=\prod_{j=1}^{m-1}\left(1-\frac{j}{n}\right)
$$

- To achieve probability at least $1 / 2$, we need:
- $m^{2} / 2 n \geq \ln 2$
- $m \geq \sqrt{2 n \ln 2}$


## Birthday Paradox

■ Probability that $m$ balls are put into distinct bins is:

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\leq\left(1-\frac{1}{n}\right)\left(1-\frac{2}{n}\right) \ldots\left(1-\frac{m-1}{n}\right)=\prod_{j=1}^{m-1}\left(1-\frac{j}{n}\right)
$$

- To achieve probability at least $1 / 2$, we need:
- $m^{2} / 2 n \geq \ln 2$
- $m \geq \sqrt{2 n \ln 2}$
- In a room with at least 23 people, the probability that at least two people have the same birthday is more than $1 / 2$.


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## Average Search Time for Hashing

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■ We want Average Length of Chain in Hash Table
■ Let $N$ be number of possible hash values
■ Let $k$ be number of items in hash table

## Average Search Time for Hashing

■ We want Average Length of Chain in Hash Table

- Let $N$ be number of possible hash values

■ Let $k$ be number of items in hash table

- Prob that exactly $i$ out of $k$ items hash to same value:


## Average Search Time for Hashing

■ We want Average Length of Chain in Hash Table

- Let $N$ be number of possible hash values

■ Let $k$ be number of items in hash table

- Prob that exactly $i$ out of $k$ items hash to same value:

$$
p_{i}=\binom{k}{i}(N-1)^{k-i} N^{-k}
$$

## Average Search Time for Hashing

- Time for unsuccessful search =
- We want Average Length of Chain in Hash Table

■ Let $N$ be number of possible hash values
■ Let $k$ be number of items in hash table

Chain Hashing
Randomized MAX-3SAT

- Prob that exactly $i$ out of $k$ items hash to same value:

$$
p_{i}=\binom{k}{i}(N-1)^{k-i} N^{-k}
$$

## Average Search Time for Hashing

- Time for unsuccessful search $=$ length of chain +1

■ We want Average Length of Chain in Hash Table
■ Let $N$ be number of possible hash values
■ Let $k$ be number of items in hash table

- Prob that exactly $i$ out of $k$ items hash to same value:

$$
p_{i}=\binom{k}{i}(N-1)^{k-i} N^{-k}
$$

Chain Hashing
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## Average Search Time for Hashing

■ We want Average Length of Chain in Hash Table

- Let $N$ be number of possible hash values

■ Let $k$ be number of items in hash table

- Prob that exactly $i$ out of $k$ items hash to same value:

$$
p_{i}=\binom{k}{i}(N-1)^{k-i} N^{-k}
$$

■ Time for unsuccessful search $=$ length of chain +1

- Average time for unsuccessful search:

$$
A=\sum_{i}(i+1) p_{i}
$$

## Average (Unsuccessful) Search Time

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$$
\begin{aligned}
A & =\sum_{i}(i+1) p_{i}=\sum_{i}\binom{k}{i}(i+1)(N-1)^{k-i} N^{-k} \\
& =\sum_{i}\binom{k}{i} i(N-1)^{k-i} N^{-k}+\sum_{i}\binom{k}{i}(N-1)^{k-i} N^{-k} \\
& =\sum_{i} k\binom{k-1}{i-1}(N-1)^{k-i} N^{-k}+1 \\
& =k N^{-k} \sum_{i} k\binom{k-1}{i}(N-1)^{k-i-1}+1 \\
& =k N^{-k} N^{k-1}+1=1+k / N
\end{aligned}
$$

## Average (Successful) Search Time

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$$
A^{\prime}=\sum_{i, j} j q_{i j}=1+\frac{k-1}{2 N}
$$

## Maximum Load

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- Prob that a bin has at least $j$ items is

$$
\binom{n}{j}\left(\frac{1}{n}\right)^{j} \leq \frac{1}{j!} \leq\left(\frac{e}{j}\right)^{j}
$$

- Prob that a bin has $\geq j=3 \ln n / \ln \ln n$ items is:

$$
\begin{aligned}
n\left(\frac{e}{j}\right)^{j} & \leq n\left(\frac{e \ln \ln n}{3 \ln n}\right)^{3 \ln n / \ln \ln n} \\
& \leq n\left(\frac{\ln \ln n}{3 \ln n}\right)^{3 \ln n / \ln \ln n} \\
& =e^{\ln n}\left(e^{\ln \ln \ln n-\ln \ln n}\right)^{3 \ln n / \ln \ln n} \\
& =e^{-2 \ln n+3(\ln n)(\ln \ln \ln n) / \ln \ln n} \\
& \leq 1 / n
\end{aligned}
$$

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## Randomized Algorithm for MAX-3SAT

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## Randomized Algorithm for MAX-3SAT

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■ Randomly assign 0/1 to all variables

## Randomized Algorithm for MAX-3SAT

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- Randomly assign $0 / 1$ to all variables

■ Each clause is satisfied with prob $7 / 8$

## Randomized Algorithm for MAX-3SAT

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Contention
Resolution

- Randomly assign 0/1 to all variables

■ Each clause is satisfied with prob $7 / 8$
■ Expected number of clauses satisfied $=7 / 8$

## Randomized Algorithm for MAX-3SAT

- Randomly assign $0 / 1$ to all variables

■ Each clause is satisfied with prob 7/8

- Expected number of clauses satisfied $=7 / 8$

Lemma: There exists a truth assignment that satisfies at least $7 / 8$-th of the clauses.
How to find such a truth assignment? Derandomization

## Presentation Outline

COT 6936:
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Giri
Narasimhan
Randomized
Algorithms
QuickSort
Min-Cuts
Monte Carlo vs Las Vegas

Balls and Bins
Birthday
Paradox
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## Contention Resolution

## COT 6936:

- $N$ processes $P_{1}, \ldots, P_{N}$ each competing for access to a single resource (shared database, shared communication channel, etc.)


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■ Use randomization to break symmetry

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- Two Random Choices: Sample 2 random resources and pick less loaded one


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