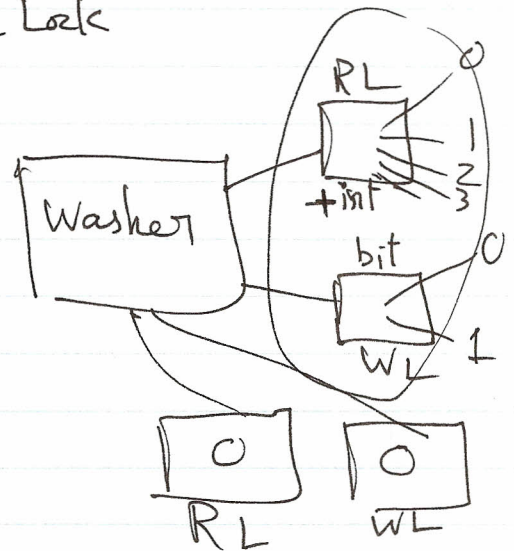
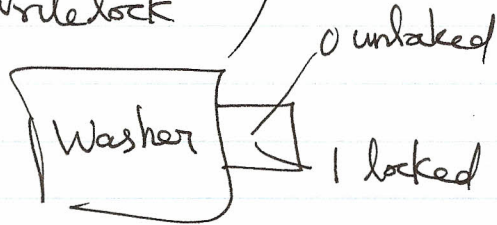


# Lock

Binary  
0 - unlocked  
1 - locked

Read Lock  
& Write Lock

(No distinction  
between  
read lock  
& write lock)



## Binary Lock

Lock (item)  
unlock (item)

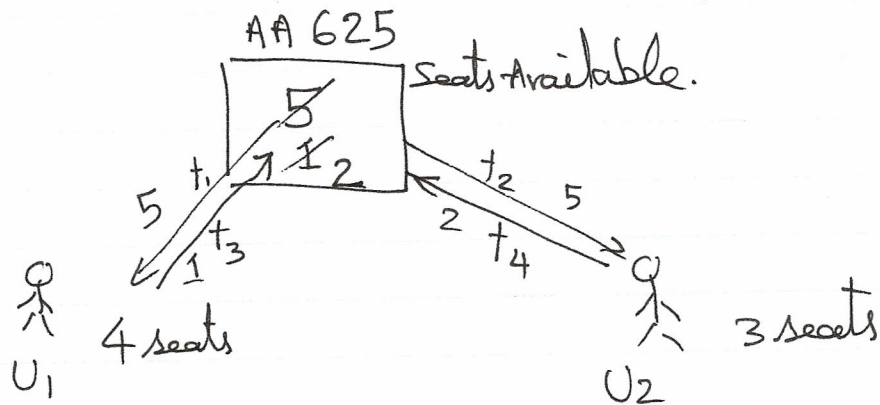
Lock (item):

Start:  $\text{if } (\text{Lock}(\text{item}) == 0)$   
    { // item is unlocked  
       $\text{Lock}(\text{item}) = 1$   
    }  
else  
    { wait (until  $\text{Lock}(\text{item}) == 0$ ) // process goes to sleep  
      Lock manager wakes up the transaction when the lock is released.  
      goto start  
    }

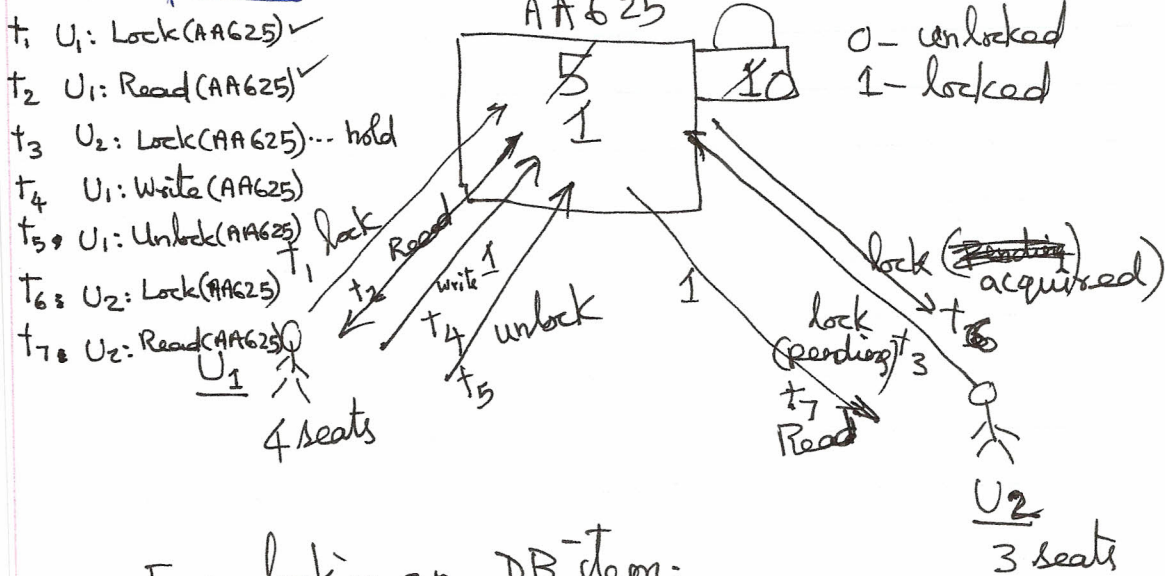
unlock (item):

$\text{Lock}(\text{item}) = 0$   
if any transaction is waiting for the item,  
wake up the transaction.

# Lost update problem.



## Use of Lock



For locking a DB item:

- read the lock state
- if it is unlocked, set the lock

This entire operation must be executed in one cycle.

New opcode is introduced in the ISA

EXCH

(exchanges two values) in one cycle.

Swaps

(lock state and user lock request (1))

# Locks

no distinction between read/write

Binary: 0 'unlocked' 1 'locked'

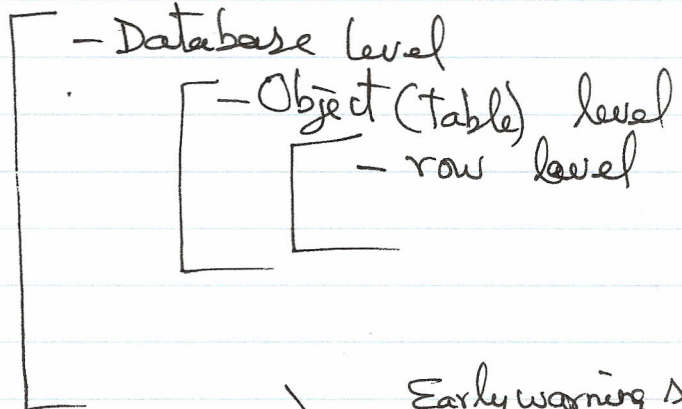
S: Shared: (Read) 0, 1, 2, 3, ...  
 (unlocked) no. of processes share that item

E: Exclusive: (write or update) 0, 1  
 (unoccupied) ~~occupied~~. (Single process)

## Intention locks

### Granularity of locks

- Server - level



IS (Intention Shared) — Early warning sign of shared lock ~~if~~ below process 3  
 on Company DB — IS ✓ — P<sub>3</sub> — Employee Table — Shared ✓  
 P<sub>5</sub> write Company — Project (E) ✓

P<sub>6</sub> IS — Company DB  
 IS — Department table  
 S — row .

P<sub>8</sub> write Department .  
 Company DB — Department table — row  
 ? IS IS ? S wait unlocked ✓

## Read & Write Locks

state:  $\begin{matrix} \text{C(int)} & \text{C(binary)} \end{matrix}$   
unlocked (RL=0, WL=0)  
read locked (RL=int WL=0)  
write locked (RL=0 WL=1)

Read lock(item), write lock(item), unlock(item)

Read lock(item):

```
start: if (Lock(item) == 'unlocked')
    {
        Lock(item) = 'Readlocked'
        no. of readers = 1
    }
else if (Lock(item) == 'readlocked')
    {
        no. of readers ++
    }
else {
    wait (until lock(item) == 'unlocked')
    and
    Lock manager wakes up the transaction
    goto start
}
```

write lock(item):

```
start: if (Lock(item) == 'unlocked')
    {
        Lock(item) = 'write locked'
    }
else {
    wait (until lock(item) == 'unlocked')
    and
    Lock manager wakes up the transaction
    goto start
}
```

Unlock(item):

```
if (Lock(item) == 'writelocked')
    {
        Lock(item) = 'unlocked'
        Wake up Lock Manager to pick up a waiting transaction
    }
else {
    No. of readers --
    if (No. of readers == 0)
        Lock(item) = 'unlocked' wake up lock
```